1996 Ford Foundation Annual Report

We need the talent that abounds in every community

Enabling the poor to build assets that expand opportunity and reduce hardship;



to meet three challenges of the twenty-first century:

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Promoting peace and the rule of law, human rights and freedom;



Strengthening education and the arts, identity and community.



Mobilizing talent to address these challenges will be at the heart of the Ford Foundation's work in the coming years.

These concerns were noted repeatedly in the many discussions I had over the past year as I considered the Foundation's future directions. The men and women I consulted were leaders of major institutions; people working at the community level as well as in multinational organizations; writers, artists, government officials, and others. They brought to our discussions enormously diverse social, economic, and political perspectives.

Most spoke in one way or another about the unprecedented depth, scale, and pace of change in their societies, and of the uncertainties that change is generating. They believe these uncertainties are having profound and often poorly understood effects. And although they saw much in recent events to celebrate, they noted a loss of public confidence in societies' ability to address basic problems. They worried about the consequences of failing to do so.

Here are a few examples of their concerns.

Many said they no longer believed poverty could be significantly reduced. They cited deepening disadvantage in many parts of the world, imbalances between the world's resources and population, changing economic realities, and increasing unrest and incivility. More than a few spoke, with troubling naturalness, of "writing off the cities," "writing off a generation," "writing off a continent." Apparently, many believe that negative economic and social forces beyond their control will undercut efforts to reduce the suffering and indignities of poverty. They fear it will be impossible to build the caring and compassionate societies they hope for.

A second set of doubts strikes at core beliefs about democracy and our ability to achieve peace. Many said they once believed that the end of the cold war would bring peace among nations, the spread of democratic values, and broader economic and social benefits. Now they worry about the increasing conflicts around the world, including conflicts between religious and ethnic groups, and about the failure of many governments to protect basic rights and freedoms. They wonder where countries and communities will find the shared moral ground necessary to build better societies. They worry about the low level of citizen engagement in public life and the need for cooperation between governmental and nongovernmental bodies that will be required to address the enormous tasks ahead.

Third, many people were intensely worried about challenges to their personal identity and values and to the institutions on which they relied in the past. They were uneasy about the implications of changes in the roles of men and women, and the changing shape of families. They sense that changes in gender roles may be among the most important legacies of the 20th century and, although not all resist these changes, they are confused and concerned about their long-term consequences. They also recognize that for most societies racial, ethnic, and cultural diversity is a reality that cannot be ignored and wonder whether today's attention to it is adequate and likely to build stable and cohesive societies. They also worry about the erosion of such resources as schools and libraries, forests and clean water, which once were taken as "givens" in their lives.

These concerns are coupled with anxiety about new technologies that benefit some but leave many others far behind. People expressed particular concern about the effects on human behavior of a growing technological culture. They worry that it will not provide a firm basis on which to build personal identity, expand knowledge, or deepen understanding. And they worry that the new technology will not adequately serve the common good or the disadvantaged.

Widely shared in rich and poor countries, these concerns' most corrosive effect may be the overwhelming sense they create that many societies are unequal to the challenges they face. If we fail to respond to this pessimism, we may see increasing reliance on simplistic explanations for our problems, with the scapegoating that sometimes accompanies such reasoning. This will not only harm society but also distract us from the urgent business ahead.

Fortunately, the changes that seem to be generating uncertainty and pessimism also offer opportunities. As change occurs, new insights arise, new leaders emerge, new coalitions are possible, and new concepts and ideals are articulated. If we are alert to this creativity we can support and sustain it.

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What are the assets we have to work with?

First, the hardiness of the human spirit—many people are willing to struggle for a better life when they see possibilities and have reason to hope. In fact, the greatest untapped resource for problem solving is the talent and energy of people close to the problems.

Second, we have large and small, formal and informal organizations with the capacity to create lasting change in behavior and belief. Their leaders' values and their institutional practices influence us all.

Third, on the positive side of the communications revolution, ideas travel with astonishing speed from one part of the globe to another so advances in one place can quickly inspire others.

And fourth, we have human generosity and the philanthropic resources it creates, ensuring that as some people struggle, others will be there to support them. These resources are growing around the world because most cultures value compassion and altruism and have longstanding traditions of giving, which many governments now encourage. Increasingly, a portion of the world's philanthropic resources is being directed to research and development by the nonprofit sector, problem solving by trial and error, and support for creative men and women with new ideas addressing society's problems. The United States is particularly blessed with traditions of individual giving and institutional philanthropy. Both types of donors have helped create and sustain the multitude of nonprofit organizations that reflect America's pluralism and the diverse voices and dreams of our people.

The Ford Foundation is part of that tradition. From its earliest days as a national and international foundation, it has supported people and institutions dedicated to advancing world peace, democratic values, and education, and furthering understanding of human behavior and intergroup relations. Throughout its history, the Foundation has worked on root causes of problems rather than their symptoms.

I am fortunate to have been at the Foundation for more than 25 years, first during the presidency of the late McGeorge Bundy. I later had the privilege of working closely with Franklin A. Thomas for the 17 years of his presidency. Both men built on the programmatic traditions developed in prior years and both renewed the Foundation's capacity to meet a new generation of problems. I intend to follow their example in pursuing the Foundation's continuing and new priorities. Increasingly, a portion of the world's philanthropic resources is being directed to research and development by the nonprofit sector, problem solving by trial and error, and support for creative men and women with new ideas addressing society's problems.

We reaffirm our commitment to the Foundation's Mission Statement, which is presented on page XIII of this report. Developed by our Trustees and staff in 1993, it emphasizes the role of the Foundation as a resource for innovative people and institutions around the world.

We have organized the Foundation's grant and loan-making activity in the United States and in our field offices into three large programs, each led by a vice president. They are:

- -Asset Building and Community Development, led by Melvin L. Oliver;
- -Peace and Social Justice, led by Bradford K. Smith; and
- -Education, Media, Arts, and Culture, led by Alison R. Bernstein.

The agenda of each program responds in a variety of ways to the three challenges noted at the start of this essay. Each program's new and continuing work is described in sections that follow, starting on page 8.

The Foundation will devote a significant portion of its program funds to this work, supporting major initiatives we and others have come to believe merit big investments. We will also assist efforts at earlier stages of development and encourage exploration of emergent ideas. We will continue the Foundation's practice of setting aside from 10 percent to 20 percent of our two-year budget as a reserve for unanticipated grants and for responses to special needs and opportunities. This reserve ensures that we have flexibility and readiness for the unexpected, essential qualities if we truly intend to serve as a resource for innovation.

What are some of the themes and priorities that crosscut these three programs and the Foundation's operations?

I will mention three here.

First is our hope that we can help counter people's pessimism about their ability to forge better lives and communities. Ford and other foundations can promote the visions and voices of men and women working to advance human well-being. We can throw a spotlight on those who are making the world a better place. Among them will be individuals with vibrant new intellectual perspectives, people whose work and lives are animated by

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As we have seen in the fields of human rights and the environment, and in movements toward democracy, the efforts of a few can raise the consciousness of many.

> ethical and spiritual ideals, as well as people who are angry about unfairness and the loss of dignity they or others have suffered and are doing something constructive about it.

> We will support discussions among them; interviews with them in the press, radio, and television; profiles of their histories and beliefs. Some of the men and women will be known to us already and others will be newly discovered. Whether social activists or scholars, they will illustrate the power of acting in concert or alone, with courage, principle, and persistence. They will remind us all that we hold many aspirations in common and that our futures are interdependent. As we have seen in the fields of human rights and the environment, and in movements toward democracy, the efforts of a few can raise the consciousness of many.

> Second, the Foundation's program staff and groups of grantees in a number of key program areas will gather regularly under various auspices to assess what we are accomplishing together and what we ought to be doing differently. We have recently experimented with regularly scheduled gatherings of this kind. Some were in academic settings, others were organized by independent consultants or associations of organizations, and some were convened by the Foundation itself. Our purpose is to establish in our three areas of program activity—asset building and community development; peace and social justice; and education, media, arts, and culture—regular forums in which we can have candid discussions about the results of the partnership that the grant relationship entails. It is a partnership requiring trust, candor, and risk taking on both sides, and the meetings can help build that spirit. Grantees tell us they value these opportunities for frank exchange and refinement of strategy and would like to be part of them more often.

One of Ford's comparative advantages is to construct these learning partnerships cross-nationally, cross-culturally, and cross-sectorally, with the participation of our grantee partners involved in similar work in the United States, Africa and the Middle East, Asia, Latin America, and Russia. If we get it right, the learning will be powerful and interesting, and the process will help create or strengthen networks of innovators that reach across disciplinary, national, and continental boundaries.

Third, as we identify ideas and experiences that merit the attention of wider audiences, we will try to communicate them as powerfully and compellingly as we can. The newly established vice presidency for communications, held by Robert Curvin, reflects this intention. We plan to engage frequently with public audiences, to continue to offer a wide range of materials—from our annual report, our quarterly magazine, and booklets to our Web site and videos—and to host many different kinds of gatherings in the Foundation's offices in New York and overseas.

Three other officers will play key roles with me at the Foundation. Barron Tenny, executive vice president, secretary, and general counsel has the wide-ranging responsibilities his titles indicate. Barry Gaberman, senior vice president, will work closely with me, as he has for many years, and lead a number of special projects. Linda Strumpf, vice president and chief investment officer, will continue to oversee the Foundation's investment portfolio and contribute to policy discussions.

To do all this well will require continual attention to institutional efficiency, streamlining, and user-friendliness. Given the pace of change, we must be ready to change ourselves. We must be prepared to respond to the unexpected and to act quickly. By the same token, we must make time for reflection. We will continue to look for ways to increase flexibility, simplify, decentralize, and use new technologies to improve the quality of our work. In other words, like many organizations, we will have to continually reexamine our priorities and reorganize ourselves to match changing realities. As we do this, we will support, and learn from, the efforts of our grantees to reorganize and restructure themselves for greater effectiveness.

In these and other ways, we and our colleagues intend to make wise use of the Foundation's resources. We will benefit from the policy guidance of our distinguished Board of Trustees, and we will rely on the ideas and vision of innovators around the world who come to us seeking support and partnership. We want to be a resource for them in as many ways as we can. We will add our best insights and energy to their efforts, and hope that together we can create knowledge and institutions that enhance peace, tolerance, well-being, and freedom around the world. Given the abounding talent in the world, we are optimistic about these possibilities.

In 1996 Franklin A. Thomas stepped down as President and Trustee of the Foundation after 19 years of extraordinary service. (Following two years as a Trustee, he served 17 years as President and Trustee.) During that period he developed a global program agenda for the Foundation, helped create multiple funding partnerships with corporate and other donors, and taught us all that the best solutions begin with the ideas and energy of people close to the problems. The health and vitality of the Foundation, programmatically and financially, are attributable in large part to his leadership. He will always be a great friend and a source of knowledge and wisdom for me.

Dorothy S. Ridings also resigned as a Trustee this year to assume new responsibilities as president of the Council on Foundations. During her tenure, Dot contributed significantly to all aspects of the Foundation's work. She brought to our deliberations the benefit of her extensive background in journalism and publishing and her special blend of qualities: strong convictions about equality, justice, and opportunity; intellectual curiosity; and good humor.

Regrettably, our esteemed colleague and Trustee Olusegun Obasanjo is still held in a prison in Nigeria. His family reports that he is receiving adequate food and medical attention and that his spirits are high. We hope for and look forward to the day when he will be free and able to directly participate in the work of the Foundation and the resolution of important issues facing the world.

I am pleased to report the addition of four new Trustees to the Foundation's Board: Wilmot James, executive director of the Institute for Democracy in South Africa, an independent policy institute; Yolanda Kakabadse, executive president of Fundación Futuro Latinamericano, a nonprofit Ecuadorian foundation; Yolanda T. Moses, president of the City College of New York; and Carl Weisbrod, president of the Alliance for Downtown New York, a business-improvement district. The Foundation is already benefiting from the special perspective, expertise, and insight that each brings to our work.

Suca V. Benu ford

Susan V. Berresford President

Following the death of McGeorge Bundy on September 16, 1996, the Foundation's Trustees adopted this resolution:

The Trustees of the Ford Foundation are deeply saddened by the death of McGeorge Bundy. As president of the Foundation from 1966 to 1979, McGeorge Bundy led the institution with courage, conviction, and distinction. He forged new lines of work in such critically important areas as civil rights, overseas development, and security and arms control. His intellect, candor, and high standards left an indelible mark on the Foundation's culture. The work of the Foundation today builds on Mac's legacy and we are in his debt. We extend our warmest thoughts and deepest sympathies to Mary Bundy and the Bundy family.

Mission Statement

The Ford Foundation is a resource for innovative people and institutions worldwide. Our goals are to:

- Strengthen democratic values,
- Reduce poverty and injustice,
- Promote international cooperation, and
- Advance human achievement.

This has been our purpose for almost half a century.

A fundamental challenge facing every society is to create political, economic, and social systems that promote peace, human welfare, and the sustainability of the environment on which life depends. We believe that the best way to meet this challenge is to encourage initiatives by those living and working closest to where problems are located; to promote collaboration among the nonprofit, government, and business sectors; and to assure participation by men and women from diverse communities and at all levels of society. In our experience, such activities help build common understanding, enhance excellence, enable people to improve their lives, and reinforce their commitment to society.

The Ford Foundation is one source of support for these activities. We work mainly by making grants or loans that build knowledge and strengthen organizations and networks. Since our financial resources are modest in comparison to societal needs, we focus on a limited number of problem areas and program strategies within our broad goals.

Founded in 1936, the Foundation operated as a local philanthropy in the state of Michigan until 1950, when it expanded to become a national and international foundation. Since inception it has been an independent, nonprofit, nongovernmental organization. It has provided more than \$8 billion in grants and loans. These funds derive from an investment portfolio that began with gifts and bequests of Ford Motor Company stock by Henry and Edsel Ford. The Foundation no longer owns Ford Motor Company stock, and its diversified portfolio is managed to provide a perpetual source of support for the Foundation's programs and operations.

The Trustees of the Foundation set policy and delegate authority to the president and senior staff for the Foundation's grant making and operations. Program officers in New York, and in offices in Africa and the Middle East, Asia, Latin America, and Russia, explore opportunities to pursue the Foundation's goals, formulate strategies, and recommend proposals for funding.

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October 1, 1995 to September 30, 1996

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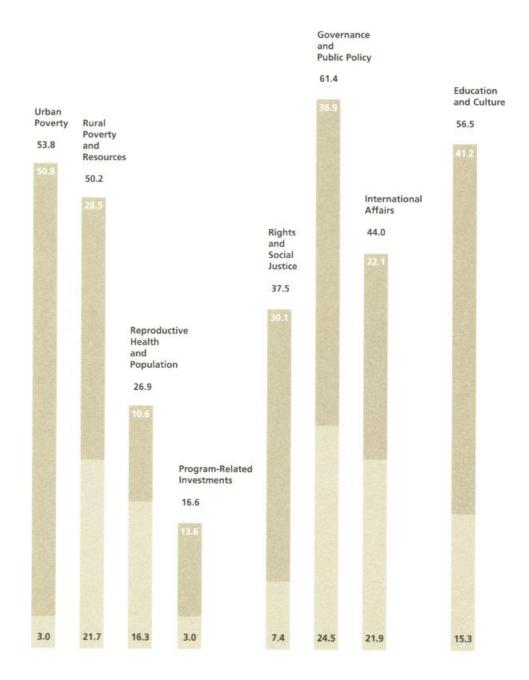
Education, Media, Arts, and Culture

Asset Building and Community Development

Peace and Social Justice

1996 Program Approvals

(\$ millions)



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United States and Worldwide—\$233.8 million

Field Office Programs—\$113.1 million

Total—\$346.9 million*

*Does not include \$4,4 million in reductions in prior-year approvals.

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Eric W. Doppstadt, manager, private equity investments Kim Y. Lew, senior securities analyst Joanne K. Sage, manager, equity trading Helen B. Pariza, senior equity trader M. Angela Esquivel, investment analyst Timothy J. Aurthur, investment systems administrator Odessa M. Starke, fixed income associate Mary Ellul, executive assistant Lucy Fabris, executive assistant Nick H. Sayward, investment services librarian

Office of Communications

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The staff list reflects the organization of the Foundation as of January 31, 1997.

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Andean Region and Southern Cone

Chile Ricardo Lyon 806 Providencia Santiago, Chile

Russia

Moscow Pushkin Plaza

Tverskaya Ulitsa 16/2 5 th fl. 103009 Moscow, Russia



Enabling the poor to build expand opportunity

assets that and reduce hardship

Asset Building and Community Development Program

by Melvin L. Oliver

All over the world large numbers of people are poor. Their incomes are low and their asset base is small, conditions that frequently undermine their health, well-being, and potential achievements. Poverty also affects communities and hinders their ability to provide fundamental services, maintain roads and water systems, and create economic opportunities. But many poor people, families, and communities also have important assets on which to build and improve—strong family ties, vibrant cultures, determined local organizations and institutions, and the talent and commitment to find solutions to the most persistent problems of poverty.



Training people for the world of work can set them on the road to building assets for themselves and their families. YouthBuild, a national program founded by Dorothy Stoneman (center), teaches young people construction skills while they build homes in poor neighborhoods.

[Center] One way to improve the livelihoods of the rural poor is to give them greater control over local resources. In Pak-Bang, Thailand, a woman now keeps the books for a fishing cooperative organized with help from the Friends of Women Foundation. The Asset Building and Community Development program serves as a resource for individuals and organizations that are building on existing assets and seeking solutions to the problem of poverty. The program supports a range of people and groups engaged in community-based activities, research, policy analysis, and advocacy. It also invests in institutional development and in training the next generation of practitioners and analysts. Bringing together four previously separate Foundation programs—urban poverty, rural poverty and resources, reproductive health and population, and programrelated investments—the new program supports efforts to:

- build strong individuals, families, and communities;
- create economic opportunities and financial institutions that respond to the needs of the poor;
- protect and wisely manage natural resources; and
- promote reproductive health.

Assuring human dignity building strong individuals, families, and communities

According to the Foundation-supported Self-Employment Learning Project of the Aspen Institute, programs helping microenterprises in the United States have grown from a handful to nearly 250 in 46 states over the past 10 years. These programs have served an estimated 200,000 people, providing technical assistance and lending more than \$115 million to some 54,000 small businesses in poor communities.

To find work in a changing economy, many people are seeking training in high-paying jobs. This trainee is working on truck brakes in a diesel mechanics class run by Project QUEST in San Antonio, Tex.

Encouraging equitable and sustainable development

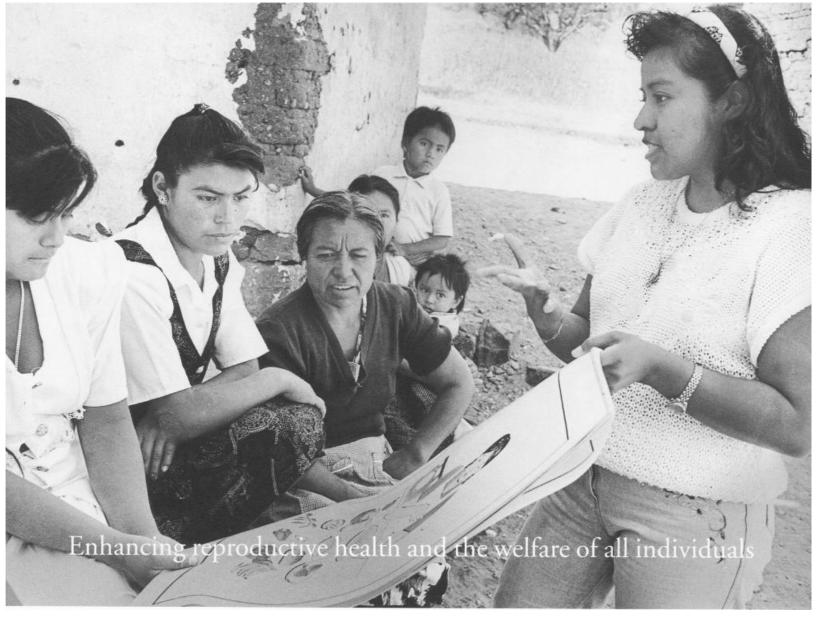


The program emphasizes social, cultural, and economic development that is both equitable and sustainable and that will make a positive difference in the lives of the poor and disadvantaged. To support these goals the program will maintain the Foundation's tradition of working with those closest to the problems, and of supporting research to further understanding of the problems' local, national, and worldwide contexts.

By including the term "asset" in our program's title, we signal our intent to mobilize an array of resources—from social to financial, and from academic to environmental—to help individuals and families escape social and economic marginalization. Our commitment is to help people achieve economic justice by building assets that can be passed from one generation to another. In that way, future generations will become part of the economic and social mainstream. Work toward these goals is carried out through three program units: Economic Development; Community and Resource Development; and Human Development and Reproductive Health.

The Economic Development unit, headed by director Frank DeGiovanni and deputy director Lisa Mensah, will support efforts to build financial assets through work, access to credit, and home and land ownership. Grants and program-related investments (PRIs) will focus on urban and rural development; creating jobs and livelihoods; strengthening community development financial institutions, including those serving microenterprises and small businesses; and improving low-income people's access to home and land ownership. One of the most urgent needs of low-income women throughout the world is training for jobs that will help them support their families. Members of the Kayole Women's Self-Help Group in Nairobi, Kenya, are learning carpentry skills as they build furniture for a new school.

[Opposite page] Like these farmers in China planning reforestation of degraded lands with help from the government's forestry department staff, the people closest to problems have much to contribute to their solution.



Providing women with better information about reproductive health and sexuality is crucial to helping them to gain greater control over their lives and to enhance their participation in their communities. A health worker at Casa de la Mujet in Oaxaca, Mexico, leads a discussion on the importance of good nutrition during pregnancy and breastfeeding.

Several new areas of work will be undertaken in the Economic Development unit in the coming year. One in the United States will explore ways to help create financial assets for the poor through Individual Development Accounts. IDAs are savings accounts in which deposits are matched by public or private funds and withdrawals are restricted to such uses as buying a home, furthering education, or capitalizing a business. Another new initiative will support work that increases the number of corporations fostering economic development in poor communities.

The second unit, Community and Resource Development, with Betsy Campbell as director and Roland Anglin as deputy director, will support urban and rural community development models that are environmentally sound and sustainable over the long term. This unit draws upon the Foundation's long history of support for both community development and the appropriate use of environmental and natural resources. It seeks new opportunities along the following lines: attention to environmental concerns by urban community development organizations; the cross-fertilization of ideas between urban and rural development; the role of churches and religious institutions in

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community development; and increased attention to intergenerational access to and control over natural resources.

The Human Development and Reproductive Health Unit is led by senior director E. Walter Coward, Jr., serving as acting director, and Janice Molnar and Margaret Hempel as co-acting deputy directors. A search for a permanent director is under way. This unit seeks to build human and social assets. It works in the areas of child, youth, and family development; work-force development; and sexuality and reproductive health. In the United States and worldwide, the unit will continue to support activities that address the social, economic, and cultural factors that influence sexuality and reproductive health. Support for efforts to promote income security will be expanded in light of new legislation reforming the welfare and child-support systems in the United States. Other work will continue support for community youth development and comprehensive efforts to assist disadvantaged families. Support will also continue for programs to further understanding of sexuality, efforts that inform HIV/AIDS policy and international reproductive health policy, and explorations of the implications of religion and ethics for reproductive health.

Developing policies that enhance the role of fathers in America's families is the aim of many groups around the country and a continuing interest of the Foundation. These men, shown at a local park in Philadelphia, are taking part in a program that is helping them to play important roles in their children's lives.



As AIDS reaches into families around the world, it is necessary to find more ways to help families affected by the disease. An art therapist in Charlotte, N.C., who works with one of the partner organizations of the National AIDS Fund, is shown with a child whose parents have AIDS.

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The Asset Building and Community Development program is international in scope. In addition to its work in the United States, the program is active overseas, in ways that are sensitive to national differences. Comparative analyses of work on subjects like community development, community development financial institutions, community-based management of natural resources, and reproductive health will help us learn how to develop new ways to resolve some of the perplexing issues of economic inequality. Led by senior director E. Walter Coward, Jr., program officers from around the world working on common problems in reproductive health, community development finance, and natural resources will cooperate with grantees and others to distill the most important lessons learned as a basis for better grant making and the promotion of

policy and social change on behalf of the poor. We also plan to encourage networks of grantees to share lessons they have learned with the Foundation and with each other so as to strengthen their work.

The ultimate goal of our programming in both the United States and overseas is to create results that are just, sustainable, and environmentally sound. As we support individuals and communities defining problems and responses for themselves, we will also support policy-related research. In this way, we and our grantees will better understand the social, economic, and political context of the problems we address and the changes necessary to improve the lives of the poor.

In a Foundation-supported study of the employment prospects of inner-city residents in New York City and in Oakland, California, anthropologists Katherine Newman and Carol Stack found fierce competition for jobs in fast-food restaurants paying as little as \$4.25 an hour. Among their other findings: For every job opening there were 14 applicants, and three out of four rejected job seekers were still unemployed but actively looking for work a year later.

Urban Poverty

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading Urban Poverty. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in urban poverty has been carried out within the new program area Asset Building and Community Development. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and Worldwide

Physical, economic, and social revitalization

Atlanta Neighborhood Development Partnership \$900,000

Bank Street College of Education (New York) \$29,000

Bedford Stuyvesant Restoration Corporation (Brooklyn, N.Y.) \$2,200,000

Boston College \$80,000

Bridge Housing Corporation (San Francisco) \$75,000

BSR Education Fund (Washington, D.C.) \$500,000

Center for Policy Alternatives (Washington, D.C.) \$50,000

Chicago Neighborhood Institute \$100,000

Chicanos Por La Causa (Phoenix) \$600,000

Committee for Economic Development (New York) \$325,000

Community Development Venture Capital Alliance (Duluth, Minn.) \$200,000

Community Foundation for Southeastern Michigan (Detroit) \$500,000

Community Foundation of Greater Memphis (Tennessee) \$500,000 Conference Board (New York) \$125,000

Conservation Company (New York) \$100,000

Cosmos Corporation (Bethesda, Md.) \$64,000

Development Leadership Network (Detroit) \$250,000

Development Training Institute (Baltimore) \$50,000

Enterprise Foundation (Columbia, Md.) \$1,150,000

Foundation-administered project: for information-sharing among participants in the Neighborhood and Family Initiative \$65,000

Foundation-administered project: to implement a community development communications initiative designed to improve media coverage of the community development field \$250,000

Harvard University \$30,000

Hedrick Smith Productions (Chevy Chase, Md.) \$2,600

Home Care Associates Training Institute (Bronx, N.Y.) \$475,000

Lipman Hearne (Chicago) \$33,000

Local Initiatives Support Corporation (New York) \$2,100,000

Milwaukee Foundation Corporation (Wisconsin) \$500,000 Mississippi Action for Community Education (Greenville) \$300,000

National Congress for Community Economic Development (Washington, D.C.) \$2,800,000

National Congress of Neighborhood Women (Brooklyn, N.Y.) \$50,000

National Council of La Raza (Washington, D.C.) \$300,000

National Economic Development and Law Center (Oakland, Calif.) \$1,100,000

National League of Cities Institute (Washington, D.C.) \$550,000

National Urban Technology Center (New York) \$300,000

Neighborhood Funders Group (McLean, Va.) \$39,525

North Carolina Community Development Initiative (Raleigh) \$230,000

Oregon Community Foundation (Portland) \$400,000

Police Executive Research Forum (Washington, D.C.) \$200,000

Pratt Institute* \$670,000

Public/Private Ventures (Philadelphia, Pa.) \$1,975,000

Puerto Rico Community Foundation (Hato Rey) \$1,000,000 Rutgers University (New Brunswick) \$620,000

San Antonio Communities Organized for Public Service (Texas) \$75,000

San Diego Community Foundation (California) \$250,000

Spanish-Speaking Unity Council of Alameda County (Oakland, Calif.) \$850,000

Structured Employment Economic Development Corporation (New York) \$4,050,000

Tides Center (San Francisco) \$75,000

Urban Strategies Council (Oakland, Calif.) \$45,000

Watts Labor Community Action Committee (Los Angeles) \$850,000

Welfare and teen pregnancy

Alternative Media Information Center (Media Network) (New York)* \$200,000

American Youth Work Center (Washington, D.C.) \$150,000

Aspen Institute (Queenstown, Md.) \$200,000

Big Brothers/Big Sistersof America (Philadelphia, Pa.) \$50,000

California State University (Long Beach) \$33,000

Center for Community Change (Washington, D.C.) \$100,000

Educational Broadcasting Corporation (New York) \$100,000 Finance Project Toward Improved Methods of Financing Education and Other Children's Services (Washington, D.C.) \$200,000

Funds for the Community's Future (Washington, D.C.) \$75,000

Girls Incorporated (New York) \$200,000

Handsnet (Cupertino, Calif.) \$350,000

Institute for Women's Policy Research (Washington, D.C.) \$100,000

Joint Center for Political and Economic Studies (Washington, D.C.) \$1,546,000

Manpower Demonstration Research Corporation (New York) \$1,900,000

Morehouse School of Medicine (Atlanta) \$175,000

National Urban League (New York) \$1,000,000

Opportunities Industrialization Centers of America (Philadelphia, Pa.) \$400,000

Search Institute (Minneapolis) \$15,000

Tides Foundation (San Francisco) \$42,000

Training Inc. National Association (Newark, N.J.) \$150,000

Wider Opportunities for Women (Washington, D.C.) \$250,000

Youth employment

Academy for Educational Development (Washington, D.C.) \$1,050,000 Corporation for Enterprise Development (Washington, D.C.) \$75,000

DOOR—Center of Alternatives (New York) \$366,000

East Harlem Employment Services (New York) \$275,000

National Network of Runaway and Youth Services (Washington, D.C.) \$194,000

New Images Productions (Berkeley, Calif.) \$80,000

Public/Private Ventures (Philadelphia, Pa.) \$100,000

YouthBuild U.S.A. (Somerville, Mass.) \$700,000

Youth Service America (Washington, D.C.) \$700,000

Fair start for children

Avance (San Antonio, Tex.) \$450,000

Baltimore City Health Start \$41,000

California Child Care Resource and Referral Network (San Francisco) \$300,000

Center for Policy Alternatives (Washington, D.C.) \$175,000

Chicago, University of \$94,000

Child Care Law Center (San Francisco) \$100,000

Child Trends (Washington, D.C.) \$70,000

Children's Defense Fund (Washington, D.C.) \$500,000



Joe Marshall, one of the founders of the Omega Boys Club in San Francisco, has helped scores of young people in the Bay Area turn away from a life on the streets and continue their schooling. Marshall hosts the club's popular radio program Street Soldiers.



Girls in Costa Mesa, Calif., participate in an after-school program sponsored by Girls Incorporated, which encourages young women to postpone sexual activity and pregnancy until adulthood.



The North Carolina Community Development Initiative supports new ways to spur economic development. Here a community group in Rocky Mount County is converting a former hospital into offices for a small-business incubator.



Richard Stren is the Director of the University of Toronto's Global Urban Research Initiative (GURI), which has been analyzing urban issues throughout the developing world. In Istanbul in 1996, GURI presented its findings to urban researchers from around the world. Columbia University \$1,212,500

Empowerment Network Foundation (Alexandria, Va.) \$150,000

Goodwill Industries of Southeastern Wisconsin (Milwaukee) \$59,100

Johns Hopkins University \$36,000

Judge Baker Children's Center (Boston)* \$300,000

Management Plus Consulting and Training Services (Washington, D.C.) \$92,200

National Academy of Sciences (Washington, D.C.) \$250,000

National Association for the Education of Young Children (Washington, D.C.) \$20,375

National Association of Child Care Resource and Referral Agencies (Washington, D.C.) \$400,000

National Coalition of Community Foundations for Youth (Kansas City, Mo.) \$245,000

National League of Cities Institute (Washington, D.C.) \$75,000

State Legislative Leaders Foundation (Centerville, Mass.) \$130,000

Tides Center (San Francisco) \$15,000

Urban Institute (Washington, D.C.) \$220,000

Wheelock College \$350,000

Policy research

AIDS Housing of Washington (Seattle) \$25,000

California, University of (Los Angeles) \$535,000

Center for Community Change (Washington, D.C.) \$225,000

Cooperative Housing Foundation (Silver Spring, Md.) \$100,000

National Center on Addiction and Substance Abuse at Columbia University (New York) \$650,000

Center on Budget and Policy Priorities (Washington, D.C.) \$405,000

Community Builders (Philadelphia, Pa.) \$300,000

Family Resource Coalition (Chicago) \$444,200

Harvard University \$758,500

Low Income Housing Information Service (Washington, D.C.) \$445,000

Michigan, University of \$625,000

Morehouse College \$43,000

National Housing Trust (Washington, D.C.) \$275,000

New York, State University of (Albany) \$350,000

Russell Sage Foundation (New York) \$50,000

Toronto, University of (Canada) \$1,740,000

Overseas Programs

Africa and Middle East

Physical, economic, and social revitalization

Center for Jewish-Arab Economic Development (Israel) \$35,000

Eastern and Southern Africa

Physical, economic, and social revitalization

Aga Khan Foundation/Kenya \$250,000

Foundation-administered project: for a new Kenyan intermediary funding institution to advance community development \$375,000

National Cooperative Housing Union Limited (Kenya) \$210,000

South Africa and Namibia

Physical, economic, and social revitalization

Alliance of Micro-Enterprise Development Practitioners (South Africa) \$100,000

Business Opportunities Network for the Western Cape (South Africa) \$20,000

Natal, University of (South Africa) \$74,000

Ntsika Enterprise Promotion Agency (South Africa) \$153,000

Policy research

Ikhwezi Lamakhosikazi (South Africa) \$70,000

Urban Trust of Namibia \$225,000

Middle East and North Africa

Physical, economic, and social revitalization

Near East Foundation (New York) \$105,966

United Nations Development Programme (New York) \$111,337

Policy research

Egypt, Government of (Ministry of Foreign Affairs) (on behalf of the Central Association for the Protection of the Environment) \$32,000

Palestine Economic Policy Research Institute \$175,700

Asia

Indonesia and the Philippines

Policy research

Institute on Church and Social Issues (Philippines) \$100,000

Latin America and Caribbean

Policy research

Ciudad Alternativa (Dominican Republic) \$75,000



A program originally managed by the National Coalition of Community Foundations for Youth and now by Wheelock College is improving the care and education of young children. Instituto Technológico de Santo Domingo (Dominican Republic) \$105,000

Pontifical Catholic University Madre y Maestra (Dominican Republic) \$5,000

Andean Region and Southern Cone

Physical, economic, and social revitalization

Choapa Corporation Center for Entrepreneurial Initiatives (Chile) \$59,000

Policy research

Microenterprise Savings and Loan Cooperative of Colombia \$107,000

NGO Consortium for the Promotion of Small and Micro Enterprise (Peru) \$137,000

Mexico and Central America

Policy research

Cooperative Housing Foundation (Silver Spring, Md.) \$300,000

Habitat International Coalition (Mexico) \$20,000

Women's Popular Education Group (Mexico) \$10,000

Grants to Individuals \$105,451

Total, Urban Poverty \$53,775,454 The following are some of the books and reports published in 1996, directly or indirectly under Foundation grants. These publications are available from the publisher or institution named or your local library or book dealer.

ding a Constituency for 0

Community and National Strategies. The Children's Partnership. Washington, D.C.: Wingspread Conference Summary & Highlights, February 8–10, 1996, Racine, Wis., July 1996.

Child Care for Low-Income Families: Directions for Research. National Academy of Sciences/Board on Children and

Families, Summary of a Workshop, 1996. Children, Race, and Power: Kenneth and Mamie Clark's Northside Center,

Markowitz, Gerald, and David Rosner. Charlottesville, Va.: University of Virginia Press, 1996.

Escribir la infancia.

Narradoras mexicanas contemporaneas. (Writing on childhood. Mexican contemporary narrators.) Pasternac, Nora, Ana Rosa Domenella, and Luzelena Gutierrez de Velasco (compilers). Mexico City: Colegio de Mexico, Programa Interdisciplinario de la Mujer, 1996.

A Family Perspective.

McIntyre, Michael J., and C. Eugene Steuerle. Washington, D.C.: The Finance Project, July 1996.

The Multilateral Development Banks, Vol. 1. English, E. Philip, and Harris M. Mule. Boulder, Colo.: African Development Bank, Lynne Rienner Publishers, 1996.

The 1996 CNBC Annual Tax Guide for Churches and Clergy.

McDonald, Wyatt. Washington, D.C.: Congress of National Black Churches, 1996.

Organizing for Change: First Report from the Field, Public/Private Ventures, Philadelphia, Pa.: Public/Private Ventures, February 1996.

When Work Disabates The World of the New Urban Poor. Wilson, William Julius. New York: Knopf, 1996.



Rural Poverty and Resources

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading Rural Poverty and Resources. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in rural poverty and resources has been carried out within the new program area Asset Building and Community Development. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and

Agricultural productivity

Agriculture (Colombia)

in Agroforestry (Kenya)

International Irrigation

Management Institute

International Center for Tropical

International Centre for Research

International Maize and Wheat

Improvement Center (Mexico)

Land and water management

American Rivers (Washington,

Center for International Forestry

Research (Indonesia)

Worldwide

\$800,000

\$600,000

(Sri Lanka)

\$700,000

\$800,000

\$167,500

D.C.)

\$150,000

\$150,000

Simmons College

(Washington, D.C.) \$225,000 Center for Rural Affairs

(Walthill, Nebr.) \$207,500

Civil Rights Project (Boston)* \$200,000

Center for Resource Economics

Colorado, University of (Denver) \$350,000

Community Resource Group (Fayetteville, Ark.) \$200,000

Consultative Group on Biological Diversity (New York) \$50,000

Corporation for the Northern Rockies (Livingston, Mont.) \$30,000

Environmental Defense Fund (New York) \$650,000

Florida, University of (Gainesville) \$1,000,000

Fort Lewis College \$200,000



American Rivers is supporting a community-based effort to devise uses of a flood plain along the Minnesota River that meet social, economic, and environmental needs.

Ghost Ranch Living Museum Foundation (Española, N.M.) \$115,000

Grand Canyon Trust (Flagstaff, Ariz.) \$90,000

Greater Yellowstone Coalition (Bozeman, Mont.) \$225,000

Houston Advanced Research Center (Texas) \$140,000

International Association for the Study of Common Property (Bloomington, Ind.) \$341,000

International Irrigation Management Institute (Sri Lanka) \$100,000

International Union for the Conservation of Nature and Natural Resources (Switzerland) \$208,000

Louisiana State University and Agricultural and Mechanical College \$150,000

Maryland Foundation, University of \$500,000

Menominee Tribal Enterprises (Neopit, Wis.) \$150,000

Mountain Association for Community Economic Development (Berea, Ky.) \$300,000

New England Natural Resources Center (Wayland, Mass.) \$200,000

New Hampshire Charitable Foundation (Concord) \$300,000 New Mexico Community Foundation (Santa Fe) \$75,000

Northern Arizona University \$175,000

Penn Center (St. Helena, S.C.) \$100,000

Pinchot Institute for Conservation (Washington, D.C.) \$300,000

Public Interest Projects (New York) \$29,500

Pyramid Communications (Seattle) \$35,000

Texas Center for Policy Studies (Austin) \$205,000

Tides Center (San Francisco) \$200,000

Virgin River Land Preservation Association (St. George, Utah) \$10,000

Virginia Water Project (Roanoke) \$150,000

Washington State University \$8,000

Watershed Research and Training Center (Hayfork, Calif.) \$170,000

Wilderness Society (Washington, D.C.) \$75,000

Willapa Alliance (South Bend, Wash.) \$65,000

Wisconsin, University of (Madison) \$440,000

Workshop Unlimited (Santa Cruz, Calif.) \$10,000

World Resources Institute (Washington, D.C.) \$125,000

Zuni, Pueblo of (New Mexico) \$200,000

Policy development

American Museum of Natural History (New York) \$50,000 Aspen Institute

(Queenstown, Md.) \$650,000

California, University of (Berkeley) \$335,000

Ecotrust (Portland, Ore.) \$30,000

Interhemispheric Resource Center (Albuquerque, N.M.) \$70,000

International Food Policy **Research Institute** (Washington, D.C.) \$700,000

International Institute for Environment and Development (England) \$175,000

Shoretrust Trading Group (Ilwaco, Wash.) \$200,000

Texas Center for Policy Studies (Austin) \$150,000

Woodstock Institute (Chicago) \$150,000

Worldwatch Institute (Washington, D.C.) \$200,000

Rural community development

Arizona Community Foundation (Phoenix) \$500,000

Aspen Institute (Queenstown, Md.) \$1,100,000

Community Foundation Serving Coastal South Carolina (Charleston) \$500,000

First Nations Development Institute (Fredericksburg, Va.) \$650,000

Foundation for the Mid-South (Jackson, Miss.) \$450,000

Greater Kansas City Community Foundation (Missouri) \$50,000

Greater New Orleans Foundation (Louisiana) \$500,000

Heartland Center for Leadership Development (Lincoln, Nebr.) \$142,000

Kentucky, University of \$\$0,000

Maine Community Foundation (Ellsworth) \$500,000

MDC (Chapel Hill, N.C.) \$440,000

National Association of Towns and Townships (Washington, D.C.) \$200,000

Northwest New Mexico Council of Governments (Gallup, N.M.) \$200,000

Rensselaerville Institute (Rensselaerville, N.Y.) \$50,000



Martin Eakes founded the Center for Community Self-Help in 1980 to assist small businesses in struggling communities throughout North Carolina. A statewide development banking organization, the center has loaned more than \$70 million with a default rate of only I percent.



With information and contacts from Ecotrust, an environmental group based in Portland, Ore., and Shorebank, a community development bank based in Chicago, these oyster growers from Willapa Bay in Washington State have begun to tap into a growing market of consumers eager for high-quality natural foods whose production does not harm the environment.

Salish Kootenai Community College \$200,000

Southeast Alabama Regional Planning and Development Commission (Dothan, Ala.) \$175,000

Tennessee, University of (Knoxville) \$150,000

Tides Foundation (San Francisco) \$150,000

Toledo, University of \$15,000

Employment generation

Acción International (Somerville, Mass.) \$100,000

Arkansas Enterprise Group (Arkadelphia) \$2,250,000

Association for Women in Development (Washington, D.C.) \$287,400 Center for Economic Options (Charleston, W. Va.) \$400,000

Coastal Enterprises (Wiscasset, Maine) \$235,000

Corporation for Enterprise Development (Washington, D.C.) \$200,000

Enterprise Corporation of the Delta (Jackson, Miss.) \$200,000

Montana Community Foundation (Helena) \$120,000

Ms. Foundation for Women (New York) \$200,000

National Federation of Community Development Credit Unions (New York) \$500,000

North Carolina Minority Support Center (Durham) \$100,000

Northeast Entrepreneur Fund (Virginia, Minn.) \$200,000

Northern Economic Initiatives Corporation (Marquette, Mich.) \$150,000

Northland Foundation (Duluth, Minn.) \$363,000

Peer Partnerships (Cambridge, Mass.) \$470,000

Self-Help Ventures Fund (Durham, N.C.) \$2,000,000

Taos County Economic Development Corporation (Taos, N.M.) \$100,000

Washington University \$80,000

Woodstock Institute (Chicago) \$10,000

Overseas Programs

Africa and Middle East

Eastern and Southern Africa

Land and water management

Kenya Forestry Research Institute \$7,750

Lawyers Environmental Action Team (Tanzania) \$18,000

Mozambique, Government of (Agrarian Institute of Chimoio) \$100,000

Mozambique, Government of (Ministry of Culture, Youth and Sport) \$75,000

Museums Trustees of Kenya \$75,000

Southern Alliance for Indigenous Resources (Zimbabwe) \$100,000

Zimbabwe Institute of Permaculture \$100,000

Policy development

Addis Ababa University (Ethiopia) \$210,000

Association for Better Land Husbandry (Kenya) \$260,000

Coopibo Fund (Zimbabwe) \$125,000

Makerere University (Uganda) \$50,000

Zimbabwe Farmers Union \$115,000

Zimbabwe, University of \$500,000

Rural community development

ACTIONAID (Kenya) \$60,000

African Wildlife Foundation (Washington, D.C.) \$41,000



The Center for Natural Resource Studies in Bangladesh is encouraging rehabilitation of fisheries by clearing inland waterways for fish migration. A sociologist collecting data for the project examines a young fisherman's catch in Tangail. Association of Rural Mozambican Women \$56,000

Environment Liaison Centre (Kenya) \$75,000

Interlink Rural Information Service (Kenya) \$183,000

International Centre for Research in Agroforestry (Kenya) \$25,000

Mozambique, Government of (Provincial Directorate of Agriculture and Fisheries, Province of Manica) \$150,000

Mozambique, Government of (Provincial Directorate of Agriculture and Fisheries, Province of Tete) \$226,000

World Vision International (Monrovia, Calif.) \$150,000

Employment generation

Presidential Trust Fund for Self-Reliance (Tanzania) \$200,000

South Africa and Namibia

Policy development

Group for Environmental Monitoring (South Africa) \$200,000

Legal Resources Trust (South Africa) \$125,000

Namibia Non-Governmental Organisation Forum \$50,000

National Land Committee (South Africa) \$200,000

Pretoria, University of (South Africa) \$125,000

Wisconsin, University of (Madison) \$75,000

Rural community development

!Nara (Namibia) \$155,000

Natal Midlands Rural Development Network (South Africa) \$25,000

Nyae Nyae Farmers' Co-Operative (Namibia) \$57,000

Employment generation

Bees Trust (South Africa) \$110,000

Khula Enterprise Finance Limited (South Africa) \$50,000

West Africa

Land and water management

Nigerian Environmental Study/Action Team \$180,000

Rural community development

Ekuri Initiative (Nigeria) \$75,000

Foundation-administered project: for technical assistance to, and institutional assessment of, the West Africa Rural Foundation \$160,000

Ikom Conscience (Nigeria) \$64,000

WWF—World Wide Fund for Nature (Switzerland) \$230,000

Middle East and North Africa

Policy development

Near East Foundation (New York) \$61,500

Sudanese Environmental Conservation Society \$300,000

University College (England) \$31,000

Asia

Land and water management

Asian Institute of Management (Philippines) \$49,800

Policy development

Angoc Research Foundation (Philippines) \$75,000

Employment generation

Aid to Artisans (Farmington, Conn.) \$75,000

Bangladesh

Land and water management

Association of Development Agencies in Bangladesh \$350,000

Policy development

Bangladesh Rural Advancement Committee \$300,000

University Press Limited (Bangladesh) \$75,000

Rural community development

Ashoka: Innovators for the Public (Arlington, Va.) \$210,000

World Learning (Brattleboro, Vt.) \$48,000

India, Nepal, and Sri Lanka

Agricultural productivity

BAIF Development Research Foundation (India) \$90,500

Overseas Development Institute (England) \$69,855

Professional Assistance for Development Action (India) \$26,200

Sahyog Sansthan (India) \$48,500



Members of a farmers' cooperative in Camarines Sur Province, Philippines, ready corn for market. They are part of a land-reform effort that includes community groups, nongovernmental organizations, and government agencies.

Land and water management

California, University of (Berkeley) \$68,850

Centre of Minor Forest Products for Rural Development and Environmental Conservation (India) \$125,000

Centre for Science and Environment (India) \$25,000

Ecotech Services (India) \$33,400

Federation of Community Forestry Users (Nepal) \$38,000

Indian Institute of Management (Gujarat) \$34,000

Indian Institute of Public Administration (New Delhi) \$19,700

Indian Water Resources Society (Roorkee) \$30,000

Indiana University (Bloomington) \$27,000

Institute of Development Studies (England) \$27,000

Institute of Rural Management (India) \$150,000

International Centre for Integrated Mountain Development (Nepal) \$300,000

International Development Research Centre (Canada) \$30,000

International Irrigation Management Institute (Sri Lanka) \$230,500

Legal Research and Development Forum (Nepal) \$150,000

Nabakrushna Choudhury Centre for Development Studies (India) \$13,000 National Council of Applied Economic Research (India) \$17,200

Nehru Foundation for Development (India) \$102,700

Nepal Water Conservation Foundation \$75,000

Ramakrishna Mission (India) \$110,000

Society for Promotion of Wastelands Development (India) \$650,000

Swansea, University College of (Wales) \$6,375

Wildlife Institute of India \$70,000

World Wide Fund for Nature–India \$107,500

Xavier Institute of Management (India) \$94,700

Policy development

Professional Assistance for Development Action (India) \$150,000

Employment generation

Cooperative Development Foundation (India) \$200,000

Indian Grameen Services \$285,000

New Economics Foundation (England) \$26,000

North Carolina, University of (Chapel Hill) \$110.000

Professional Assistance for Development Action (India) \$295,000

World Education (Boston) \$150,700 Indonesia and the Philippines

Land and water management

Andalas University (Indonesia) \$300,000

Asian Institute of Management (Philippines) \$238,000

Birdlife International (England) \$75,000

Bogor Agricultural University (Indonesia) \$115,000

Cornell University \$225,000

Foundation-administered project: to assess the Foundation's irrigation program in Indonesia, and to explore the prospects for the future of rural communities engaged in irrigated agriculture \$250,000

Gadjah Mada, University of (Indonesia) \$90,000

Indonesia, Government of (National Development Planning Agency) \$100,000

International Centre for Research in Agroforestry (Kenya) \$200,000

Mandiga Ob-Obbo Community Center (Philippines) \$30,000

Padjadjaran University (Indonesia) \$335,000

Perhimpunan LP3ES (Indonesia) \$795,000

Philippine Partnership for the Development of Human Resources in Rural Areas \$150,000

Southeast Asian Ministries of Education Organization Regional Center for Graduate Study and Research in Agriculture (Philippines) \$52,000

Udayana University (Bali) \$182,000



Members of the Zuni tribe in

west central New Mexico are using

traditional irrigation and culti-

vation techniques to improve management of land and water. Winrock International Institute for Agricultural Development (Morrilton, Ark.) \$62,000

Yayasan Bina Swadaya (Indonesia) \$276,000

Yayasan Karya Sosial Pancur Kasih (Indonesia) \$215,000

Policy development

Ateneo de Manila University (Philippines) \$465,000

Yayasan Konphalindo (Indonesia) \$100,000

Rural community development

Amsterdam, University of (Netherlands) \$11,000

Community Organization Training and Research Advocacy Institute (Philippines) \$242,000

Management and Organizational Development for Empowerment (Philippines) \$90,000

Sentro Ng Alternatibong Lingap Panlegal (Philippines) \$152,500

Vietnam and Thailand

Agricultural productivity

Rural Reconstruction Alumni and Friends Association (Thailand) \$8,000

Land and water management

International Development Research Centre (Canada) \$37,500

Kasetsart University (Thailand) \$159,000

Michigan State University \$80,000

Policy development

Chiang Mai University (Thailand) \$40,000

China

Land and water management

Center for Biodiversity and Indigenous Knowledge (Yunnan) \$159,000 Chinese Academy of Forestry

(Beijing) \$144,000

Kasetsart University (Thailand) \$100,000

Policy development

Atlantic Council of the United States (Washington, D.C.) \$15,500

China Poverty Research Association (Beijing) \$159,000

Fudan University (Shanghai) \$48,000

Global-Village Environmental Culture Institute of Beijing \$49,000

Harvard University \$19,400

Population and Environment Society of China (Beijing) \$20,000

Research Center for Rural Economy (Beijing) \$162,000

Washington Center for China Studies (Washington, D.C.) \$185,000

Rural community development

Committee of Farmer Development Fund, Association for Underdeveloped Regions in China \$223,000 International Council of Voluntary Agencies (Switzerland) \$35,500

Research Center for Rural Economy (Beijing) \$17,500 Sichuan Forestry College

\$63,200

Latin America and Caribbean

Policy development

Fondo Pro Naturaleza (Dominican Republic) \$150,000

Institute for Food and Development Policy (Oakland, Calif.)* \$20,000

Torcuato Di Tella University (Argentina) \$140,000

Andean Region and Southern Cone

Rural community development

Codesarrollo Foundation (Colombia) \$125,000

Foundation for Higher Education (Colombia) \$400,000

Brazil

Land and water management

Center of Alternative Technologies for the Atlantic Forest \$140,000

Institute for Management and Certification of Agriculture and Forestry \$100,000

Puerto Rastrojo Foundation Biology Station (Colombia) \$140,080

Socio-Environmental Institute for South Bahia \$85,000



Members of a forest protection committee in West Bengal share in profits from log sales. Such committees are supported by groups like the Ramakrishna Mission.



The University of São Paulo is publishing case studies of projects in the Amazon Basin that are reconciling environmental and economic needs. In the Brazilian state of Acre, residents of Xapuri process Brazil nuts for marketing. Technical Assistance in Alternative Agriculture \$225,000

Vitoria Amazonica Foundation \$87,700

Policy development

São Paulo, University of \$100,000

Social and Environmental Reference Center \$90,000

Employment generation

Para, Federal University of \$50,000

Society for Techno-Ecological Development \$15,000

Mexico and Central America

Land and water management

Arizona, University of (Tucson) \$125,000

Autonomous University of Chapingo (Mexico) \$190,000

Central American Commission on Environment and Development (Guatemala) \$60,000

Colegio de Postgraduados (Mexico) \$25,000

Designwrights Collaborative (Santa Fe, N.M.) \$10,000

El Paso Community Foundation (Texas) \$7,000

Escuela Agricola Pan-Americana (Honduras) \$59,000

Institute for Environmental Law and Sustainable Development (Guatemala) \$48,000 International Irrigation Management Institute (Sri Lanka) \$55,000 PROFMEX—Consortium for Research on Mexico (Los Angeles) \$15,000

Stanford University \$50,000

Southern Border, College of the (Mexico) \$150,000

Policy development

Association for Social Research and Study (Guatemala) \$300,000

Autonomous Metropolitan University (Mexico) \$6,000

California, University of (La Jolla) \$105,500

Center for Studies for Change in the Mexican Countryside (Mexico) \$130,000

Colorado, State of \$17,000

Femap Foundation (El Paso, Tex.) \$70,000

Mexican Association for Art and Popular Culture \$110.000

National Association of Campesino Marketing Organizations (Mexico) \$100,000

Rural Research and Consultancy (Mexico) \$33,500

Rural community development

Anden Civil Association (Mexico) \$50,000

Crafts Center (Washington, D.C.) \$40,000 Institute for Agriculture and Trade Policy (Minneapolis) \$35,000 Network Program (Mexico) \$100,000

Employment generation

Alternatives and Social Participation Processes (Mexico) \$360,000

Latin American Faculty of Social Sciences (Costa Rica) \$96,000

Local Support Services for Grass-Roots Development in Mexico \$8,000

National Association of Social Sector Credit Unions (Mexico) \$50,000

Grants to Individuals \$582,047

Total, Rural Poverty and Resources \$50,243,557 Aharn Plod San Pit Ran Kaa Lee Leeng Plit. (Chemical-free Food: For Sellers and Producers.) Arsaythammakul, Chuensuk, et al., editors. Alternative Agriculture Network/Media Center for Development, Bangkok, Thailand: March 1996.

Indian and International experiences".

Meinzen-Dick, R., and M. Mendoza. *Economic and Political Weekly*, 31, 1996.

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Wang, Caroline, Mary Ann Burris, and Xiang Yucping. Social Sciences in Medicine, October 1996.

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(A Handbook for Migrant Workers.) Yang Jinhang, Beijing: China Labor Press, 1995.

Development or Domestication: Indigenous Peoples of Southeast Asia. McCaskill, Don, and Ken Kampe. Chiangmai, Thailand: Silkworm Press, 1996.

Directorio. Diagnóstico de las organizaciones de mercadeo intermediario.

(Directory. Diagnosis of intermediate marketing organizations.) Escuela Agricola Panamericana, Zamorano. Tegucigalpa, Honduras: Escuela Agricola Panamericana, 1996.

A Community Mapping Training Manual.

(Case Studies from Indonesia). Momberg, Frank, et al. Jakarta: WWF Indonesia Programme and Yayasan Karya Sosial Pancur Kasih, 1996.

Ekonesia.

(A quarterly journal of Indonesian Human Ecology.) Jakarta: The Forum on Ecological Anthropology, University of Indonesia, 1996.

mpresa Privada y Responsabilidad Social

(Private Enterprise and Social Responsibility.) Toro, Olga Lucía, and German Rey, eds. Bogota: Impreandes Presencia, Social Foundation, 1996.

Farmer's Participation in Tank Rehabilitation and Management: A Case Study— Kedar Tank.

Centre for Water Resources and Ocean Management. Madras, India: Anna University, June 1996.

Development in Eastern Indonesia.

Barlow, Colin, and Joan Hardjono, eds. Singapore/Australia: Institute of Southeast Asian Studies & Research School of Pacific and Asian Studies, Australian National University, 1996.

Promoción y obstáculos juridicos para la autogestión y el empleo.

(The other productivity. Promotion and legal obstacles for employment and management.) Street de Nava, Ghislaine (coordinator). Mexico City: Coordinación de la Mesa de Empleo, 1996.

Lessons and Experiences in Upland Development. Quezon City, Philippines: Department of Environment and Natural Resources, 1996.

Lindi Linmu Quanshu yu Shehuiliny

(Forest Land and Tree Tenure and Social Forestry.) Tang Hongqian and Du Shouhu, eds. Chengdu: Chengdu Science and Technology University Press, 1995.

Maintaining the Granary:

Foodgrain Production and Productivity in the Nepal Terai. Gill, Gerard J. Kathmandu, Nepal: Winrock International, Nepal, 1996.

A New Era For Irrigation. Washington, D.C.: National Research Council, 1996.

Proceedings of the workshops: Tools to solve environmental problems in industrial seaports.

Huerta, Mario. Tepotzotlán, Edo. de Mexico, Mexico: Fundación Mexicana para la Educación del Medio Ambiente, 1996.

Rescheduling Canal Irrigation in MRBC Common Using the Cropwat Model— A Proposal.

Khandelwal, M. K. Working Paper No. 96. Institute of Rural Management, Anand, January 1996.

The Right to Shelte

Basurto Quijada, Elizabeth. Mexico City: Habitat Coalition/Fomento Solidario de la Vivienda, 1996.

Nakanunye Finansovoi Stabilizazii.

(Russian Banks: On the Verge of Financial Stability.) Dimitriev, M.A., ed. St. Petersburg: Norma, 1996.

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(Second International Seminar. Transference of irrigation systems.) Palacios Velez, Enrique. Mexico: Montecillo, Edo. de Mexico, 1996.

ocial Forestry Programme in Ja

A State of the Art Report. Kartasubrata, Junus, et al. Jakarta: Forestry Agency Center for Development Studies, 1995.

Sudbi Rossiskovo Krestyanstva

(The Fate of the Russian Peasantry.) Afanasiev, U.N., ed. Moscow: Russian State University for the Humanities, 1996.

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Kumar, Dinesh. Gujarat, India: Nehru Foundation for Development, March 1996.

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Reproductive Health and Population

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading Reproductive Health and Population. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in reproductive health and population has been carried out within the new program area Asset Building and Community Development. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and Worldwide

Social science research and training

Australian National University \$175,000

Edith Cowan University (Australia) \$100,000

Foundation-administered project: for the five-year review of the Reproductive Health and Population program \$100,000

International Conference on the Social Sciences and Medicine (Scotland) \$172,309

International Union for the Scientific Study of Population (Belgium) \$160,000

New York, City University of \$93,000

Social Science Research Council (New York) \$1,000,000

Community involvement

Boston Women's Health Book Collective (West Somerville, Mass.) \$300,000

Catholics for a Free Choice (Washington, D.C.) \$1,300,000

Executive Secretariat of the National Feminist Network for Health and Reproductive Rights (Brazil) \$90,000

Funders Concerned About AIDS (New York) \$100,000 International Women's Health Coalition (New York) \$1,250,000

National AIDS Fund (Washington, D.C.) \$2,500,000

National Minority AIDS Council (Washington, D.C.) \$225,000

World Council of Churches (Switzerland) \$75,000

Dissemination of information

Balm in Gilead (New York) \$200,000

Chilean Institute of Reproductive Medicine \$2,017

Concentric Media (San Francisco)* \$120,000

Family Care International (New York) \$60,000

Latin American and Caribbean Women's Health Network (Chile) \$65,100

National Council for International Health (Washington, D.C.) \$68,000

Panos Institute (England) \$50,000

Public Media Center (San Francisco) \$40,000

Population Communications International (New York) \$105,000

Reproductive Health Technologies Project (Washington, D.C.) \$244,500 Research Foundation for Mental Hygiene (New York) \$12,500

Tides Foundation (San Francisco) \$62,381

Unitarian Universalist Association (Boston) \$75,000

Women's Educational Media (San Francisco) \$75,000

Ethics, law, and policy analysis

Alan Guttmacher Institute— A Corporation for Research, Policy Analysis and Public Education (New York) \$1,000,000

Center for Women Policy Studies (Washington, D.C.) \$75,000

Columbia University \$260,500

Commonwealth Medical Association (England) \$70,000

Health Research (Albany, N.Y.) \$75,000

International Federation of Gynecology and Obstetrics (England) \$11,800

Public Media Center (San Francisco) \$200.000

Rutgers University (New Brunswick) \$9,800

Tides Center (San Francisco) \$60,000

World Congress on Human Reproduction (Woodbury, N.J.) \$22,500 **Overseas Programs**

Africa and Middle East

Eastern and Southern Africa

Social science research and training

Population Council (New York) \$65,000

Community involvement

African Medical and Research Foundation (Tanzania) \$160,500

Connections Educational and Training Programmes (Zimbabwe) \$29,500

Faraja Trust Fund Registered Trustees (Tanzania) \$74,000

Kenya Association of Professional Counselors \$116,000

Maendeleo Ya Wanawake Organization (Kenya) \$343,500

West Africa

Social science research and training

Pathfinder International (Nigeria) \$600,000

Community involvement

Girls Power Initiative (Nigeria) \$200,000

International Bank for Reconstruction and Development (Washington, D.C.) \$65,000

Medical Missionaries of Mary (Nigeria) \$154,000

Dissemination of information

Action Health (Nigeria) \$260,000

Adolescents Health and Information Project (Nigeria) \$45,000 Grassroots Health Organization of Nigeria \$75,000

Inter-African Committee on Traditional Practices Affecting the Health of Women and Children (Switzerland) \$450,000 Life Link Organization (Nigeria) \$70,000

Middle East and North Africa

Social science research and training

American University in Cairo \$144,000

Balamand, University of (Lebanon) \$150,000

International Union for the Scientific Study of Population (Belgium) \$61,000

Nada Center for Women's Health and Nutrition (West Bank) \$50,000

Near East Foundation (New York) \$183,000

Community involvement

Foundation-administered project: for activities related to the 1994 International Population and Development Conference in Cairo \$3,320

Egypt, Government of (on behalf of the Egyptian Fertility Care Society) \$80,000

German Caritas Association \$27,000

Foundation-administered project: for activities related to AIDS and sexuality in Cairo \$120,000

NOUR—Arab Women's Association (Egypt) \$90,000 Patient's Friends Society (West Bank) \$20,000

Ethics, law, and policy analysis

Family Health Group (Jordan) \$131,000

Asia

Social science research and training

Health Action Information Network (Philippines) \$47,000

Bangladesh

Social science research and training

International Centre for Diarrhoeal Disease Research-Bangladesh \$1,300,000

International Women's Health Coalition (New York) \$45,500

Menstrual Regulation Training and Services Program \$92,500

Naripokkho \$61,500

NAZ (South Asian and Muslim HIV/AIDS) Project (England) \$75,000

Population Council (New York) \$75,000



With assistance from Boston Women's Health Book Collective, groups in various countries are translating and adapting the collective's book Our Bodies, Ourselves. Shown at left is the Arabic version.



Pernessa Seele founded Balm in Gilead to help African-American churches throughout the United States respond to the AIDS epidemic. India, Nepal, and Sri Lanka

Social science research and training

Anusandhan Trust (India) \$124,000

Community involvement

Christian Medical College and Hospital, Vellore (India) \$75,000

Counseling and Allied Services for AIDS (India) \$50,000

India Rural Reconstruction and Disaster Response Service \$75,000

International Council on Management of Population Programmes (Malaysia) \$39,600

International Nursing Services Association (India) \$125,000



Home health-care workers in Tanzania's Morogoro region learn how to care for HIV/AIDS patients in a class sponsored by the Faraja Trust Fund. International Planned Parenthood Federation (England) \$200,000

Liverpool, University of (England) \$179,000

Madhyam Communications (India) \$100,000

Magic Lantern Foundation (India) \$105,000

Mahila Sewa Trust (India) \$300,000

Nalamdana (India) \$25,000

National Addiction Research Centre (India) \$55,000

NAZ Foundation Trust (India) \$100,000

Parivar Seva Sanstha (India) \$142,000

Point of View (India) \$70,000

Population Services International (India) \$100,000

Rural Women's Social Education Centre (India) \$75,000

Sahaj (India) \$168,000

Service of Society Medical and Educational Foundation (India) \$150,000 Society for Women's Action and Training Initiative (India) \$9,100

Women's Rehabilitation Centre (Nepal) \$7,500

World Neighbors (Oklahoma City) \$208,000

Y.R. Gaitonde Medical, Educational and Research Foundation (India) \$150,000

Indonesia and the Philippines

Social science research and training

Ateneo de Davao University (Philippines) \$150,000

Ateneo de Zamboanga (Philippines) \$75,000

De La Salle University (Philippines) \$110,000

Philippine Health Social Science Association \$75,000

Population Council (New York) \$75,000

Community involvement

Davao Oriental, Provincial Government of (Philippines) \$98,000

Harnessing Self-Reliant Initiatives and Knowledge (Philippines) \$106,000

Leiden, University of (Netherlands) \$65,000

Women's Legal Bureau (Philippines) \$77,000

Yayasan Pengembangan Sumberdaya Manusiawi Bina Insani Sumatera Utara (Indonesia) \$97,000

Dissemination of information

Consumer Institute Foundation (Indonesia) \$200,000

Foundation-administered project: to increase women's access to reproductive health programs \$200,000

Indonesia, Government of (Ministry of Health, Center for Public Health Education)* \$350,000

Institute for Social Studies and Action (Philippines) \$200,000

Project Concern International (San Diego, Calif.) \$42,000

Women's Crisis Center (Philippines) \$70,000

Yayasan Jaringan Epidemiologi Nasional (Indonesia) \$65,000

Yayasan Sidowayah (Indonesia) \$34,000

China

Social science research and training

Beijing Hui Long Guan Hospital \$38,600

Beijing Sexuality Education and Research Association \$53,600

Chinese Academy of Preventive Medicine \$42,000

International Women's College \$38,200

Yunnan Academy of Social Sciences \$22,200

Community involvement

Beijing Medical University \$15,100

California, University of (Los Angeles) \$50,000

Hesperian Foundation (Palo Alto, Calif.) \$22,000

Yunnan Bureau of Public Health \$32,500

Dissemination of information

Abt Associates (Cambridge, Mass.) \$3,650

China AIDS Network \$40,000

Foundation-administered project: for activities related to the 1995 United Nations Fourth World Conference on Women in Beijing \$25,000

Jinglun Family Center, China Association of Social Workers \$190,000 People's University of China \$39,500 Rural Women Knowing All \$173,000

World Women's Vision \$75,000

Xi'an Jiaotong University \$12,810

Yunnan Provincial Women's Federation \$23,600

Yunnan Reproductive Health Research Association \$53,600

Ethics, law, and policy analysis

Anhui Academy of Social Sciences \$17,000

Chinese Academy of Social Sciences \$147,000

Vietnam and Thailand

Social science research and training

Chiang Mai University (Thailand) \$32,000

Research Centre for Gender, Family and Environment in Development (Vietnam) \$100,000

Community involvement

Chulalongkorn University (Thailand) \$128.000

Foundation-administered project: to strengthen Thai HIV/AIDS and NGO/GO academic linkages \$150,000

Dissemination of information

Payap University (Thailand) \$51,000

Latin America and Carribean

Community involvement

Feminist Studies and Assistance Center (Brazil) \$62,000

Andean Region and Southern Cone

Social science research and training

Cayetano Heredia Peruvian University \$170,000

Center for Studies of the State and Society (Argentina) \$830,000

Chile, University of \$10,000

Open Forum on Reproductive Health and Rights (Chile) \$15,000



The Open Forum on Reproductive Health and Rights is bringing together women's organizations and health officials to address a range of women's issues in Chile. Participants in a mental health workshop in Santiago pose against a mural they painted on the topic of violence against women.



The Institute for Social Studies and Action in the Philippines is conducting eductional programs to increase understanding of sexual and reproductive health in Mindanao. Pontifical Catholic University of Peru \$81,000

Universidad Externado de Colombia \$76,000

Community involvement

Catholics for Free Choice in Latin America (Uruguay) \$100,000

Center of Peruvian Women "Flora Tristan" \$109,000

Dissemination of information

Colombia, Government of (Ministry of Education) \$49,000 SUR Professional Consultants (Chile) \$2,500



Staff of the Kenya Association of Professional Counselors plan the next issue of Straight Talk, a newspaper that promotes reproductive health among adolescents.

Brazil

Social science research and training

Association of Family Health \$55,840

Bahia, Federal University of \$184,000

Campinas, State University of \$452,380

Center for Studies and Communication in Sexuality and Human Reproduction \$75,000

Center for Study and Research in Collective Health \$212,750

Geledes—Institute of Black Women \$30,000

Dissemination of information

Brazilian Commission on Citizenship and Reproduction \$75,000

Catholics for the Right to Decide \$150,000

Grupo Pela Vidda \$65,000

Porto Alegre Support Group for the Prevention of AIDS \$55,000

Rio Grande do Sul, Federal University of \$65,000

Women's Health and Sexuality Collective \$60,000

Mexico and Central America

Social science research and training

Mexico, National Autonomous University of \$150,000

Pronatura-Chiapas (Mexico) \$70,000

Community involvement

Gender Equity: Citizenship, Work and Family (Mexico) \$54,000

Dissemination of information

Catholics for the Right to Decide (Mexico) \$73,000

Communication and Information for Women (Mexico) \$50,000

Communication, Exchange and Human Development in Latin America (Mexico) \$38,000

Interdisciplinary Group on Women, Work and Poverty (Mexico) \$157,000

Mexican Association for Women's Rights \$46,000

Popular Action for Social Integration (Mexico) \$16,000

Promotional Services in Health and Popular Education (Mexico) \$28,000

Women's Study Group "Rosario Castellanos" (Mexico) \$56,000

Ethics, law, and policy analysis

Comprehensive Health for Women (Mexico) \$150,000

Informational Group for Reproductive Choice (Mexico) \$126,000

Grants to Individuals \$487,655

Total, Reproductive Health and Population \$26,850,414

AIDS Dimataku

(AIDS in My Eyes.) Sudibya, Mufid Aziz, ed. A Collection of Cartoons. Jakarta: Project Concern International (PCI) and Hotline Surya, 1996.

African Journal of Reproductive Health. Okonofua, F.E., and R.C. Snow. Washington, D.C.: Harvard School of Public Health, 1996.

Beyond Family Plannin

Women's Health, Human Rights and Population Policy. Jacobson, Jodi. Health and Development Policy Project and the League of Women Voters, 1996.

Conceptos de Género y Desarroll

(Concepts of Gender and Development.) Montecino, S., and L. Rebolledo. Santiago: University of Chile, Social Science Faculty, 1996.

onference Proceeding

The Asia and Pacific Regional Network on Gender, Sexuality and Reproductive Health and Fora on the Teaching of Health Social Science Conference. Cebu City, Philippines: Social Development Research Center, De La Salle University, January 8–13, 1996.

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Penerapan Metode Belajar Berdasar Masalah. (To Guarantee the Quality of Village Midwife Services.) Mukti, Ali Ghufron. Yogyakarta, Indonesia: Population Research Center, University of Gadjah Mada, 1996.

Mujeres procesadas por aborto

(Women Prosecuted for Abortion.) Casas, Lidia. Santiago, Chile: Open Forum on Reproductive Rights, LOM Ediciones, 1996.

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Mujer, sexualidad y safud reproductive en Mexico. (Women, Sexuality and Reproductive Health in Mexico.) Langer, Ana, and Kathryn Tolbert. Mexico City: Population Council, 1996.

On the Margins: Men Who Have Sex with Men and HIV in the Developing World. McKenna, Neil. London: Panos Institute, 1996.

(The Usefulness of Village Midwives.) Istiarti, Tinuk. Yogyakarta, Indonesia: Population Studies Center, University of Gadjah Mada, 1996.

Penyakit Hubungan Seksual & HIV/AIDS dari Perspektif Perempuan

(Sexual Transmittal & HIV/AIDS from Women's Perspectives.) Yayasan Lembaga Konsumen Indonesia (YI.KI). Jakarta, Indonesia: Workshop Program Series on Women Health, 1996.

Report of the independent Commission on Population and Quality of Life. Caring for the Future. New York: Oxford University Press, 1996.

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Current Developments and Issues, Policies and Prospects. Ramos-Jimenez, Pilar, and Juana V. Abuning, eds. Manila, Philippines: Social Development Research Center, De La Salle University, June 1996.

Sexual Behaviour and AIDS in India.

Nag, Moni. Delhi: Vikas Publishing House Pvt. Ltd., 1996.

Study on the Risk Factors of Genital Chlamydia Trachomatis Infections in Rural Child-bearing Age Women in Yunnan."

Wang Tongyin, Yan Liqin, Luo Jiahong, Wan Chonghua, and Joan Kaufman. Yunnan, China: Yunnan Yufang Yixue Zazhi (Yunnan Journal of Preventive Medicine), March 1996.

Strategies and Tactics for Prevention and Eradication of Female Genital Mutilation.

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Towards a Healthy Society:

Case Studies in Health Social Science Partnerships in Asia–Pacific Region.

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Risk and Vulnerability.

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Program-Related Investments

Fiscal Year 1996 Approvals

The loans and investments in this section, made in fiscal 1996, are listed under the previous Foundation program heading Program-Related Investments. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in program-related investments has been carried out within the new program area Asset Building and Community Development.

Bhartiya Samruddhi Investments and Consulting Services (Hyderabad, India)

Capitalization of a group of financial service companies providing financing for sustainable rural livelihoods. \$2,000,000

BRIDGE Housing Corporation (San Francisco)

Expansion of capital base of nonprofit regional producer of affordable housing. \$1,000,000

Chicago Neighborhood Institute

Capitalization of a loan fund that finances small businesses as part of Shorebank's economic and labor-force development initiative on Chicago's West Side. \$1,000,000

Coastal Enterprises (Wiscasset, Maine)

Capitalization of a new community development venture capital fund that will create good jobs for low-income people in Maine. \$1,000,000



Coastal Enterprises, a community development corporation in Maine, provides loans and technical assistance to small businesses that employ and train low-income people.

Cooperative Development Foundation (Hyderabad, India)

Establishment and expansion of a loan fund that promotes financially self-sufficient, member-owned producer and savings and credit associations. \$1,000,000

Cooperative Housing Foundation (Silver Spring, Md.)

Capitalization of a home improvement and credit program in Juarez, Mexico. \$1,000,000

Corporation for Supportive Housing (New York)

Expansion of a national revolving loan program that assists nonprofit organizations that develop housing for homeless persons with special needs. \$2,000,000

Enterprise Corporation of the Delta (Jackson, Miss.)

Capitalization of a small-business finance organization that will create good jobs for low-income people in the Mississippi Delta region. \$2,000,000

MBA Properties (St. Louis, Mo.)

Working capital for production of affordable housing and for a capacity-building program for this community development corporation. \$2,000,000

National Academy of Public Administration (Washington, D.C.)

Working capital for expansion of the Alliance for Redesigning Government's newsletter *The Public Innovator.* \$600,000

National Trust for Historic Preservation in the United States (Washington, D.C.)

Capitalization of a fund that finances the rehabilitation of historic properties in low-income communities for use as affordable housing and retail, office, and institutional space. \$1,000,000

Nonprofit Facilities Fund (New York)

Capitalization of a nationwide fund to assist the development of cultural facilities. \$1,000,000

Peer Partnerships (Cambridge, Mass.)

Capitalization of a loan fund to finance the start-up costs of microenterprise programs in the Working Capital network. \$1,000,000

Total, Program-Related Investments \$16,600,000



The Cooperative Housing Foundation is helping to provide homes for low-income families on the Mexico–United States border. Shown are construction workers at a nearly completed housing complex in Ciudad Juarez.



A dairy association member in India tends a cow she bought with a loan from a savings and credit program for women started by Professional Assistance for Development Action.

Promoting peace and the human rights

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rule of law, and freedom

Peace and Social Justice Program

by Bradford K. Smith

The world has changed enormously since 1981 when the Ford Foundation last undertook a major reorganization. Boundaries between domestic and international spheres have been largely erased. Innovations in technology and communications have revolutionized the way we think about work and leisure. Globalization and the integration of the world's economies have become facts of daily life. As questions arise about the ability of governments to respond to these changes, many look to civil society community groups and other citizens' organizations for answers.

Increasing access to justice and protection

of the full range of human rights

Citizenship is the gateway to full participation in democratic societies. Here an immigrant to the United States is sworn in as a citizen.

[Center] Giving an equal voice to all groups is an important means of reducing social and economic inequality. In South Africa the Foundation supports a variety of groups pressing for social justice, like these unionized domestic workers in Johannesburg.

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In response, activists, scholars, and policy makers are generating new ideas and approaches to promoting peace, human rights, social justice, and democratic governance worldwide.

Yet progress toward these goals is threatened by many forces. Extremism—religious, ethnic, and political—is on the rise, often fueled by growing disparities in levels of development. Despite the growth of democratic forms of government, violations of human rights continue in every corner of the globe. Some 30 armed conflicts are currently under way—only slightly less than at the end of the cold war. And although the threat of nuclear war between superpowers seems less likely, there is the frightening prospect of nuclear weapons loosely controlled by weak governments.

The challenges posed by this highly dynamic, complex, and uncertain environment guided the Foundation in creating the Peace and Social Justice program. Combining the interests of three former programs—Rights and Social Justice, Governance and Public Policy, and International Affairs—the Peace and Social Justice program seeks to:

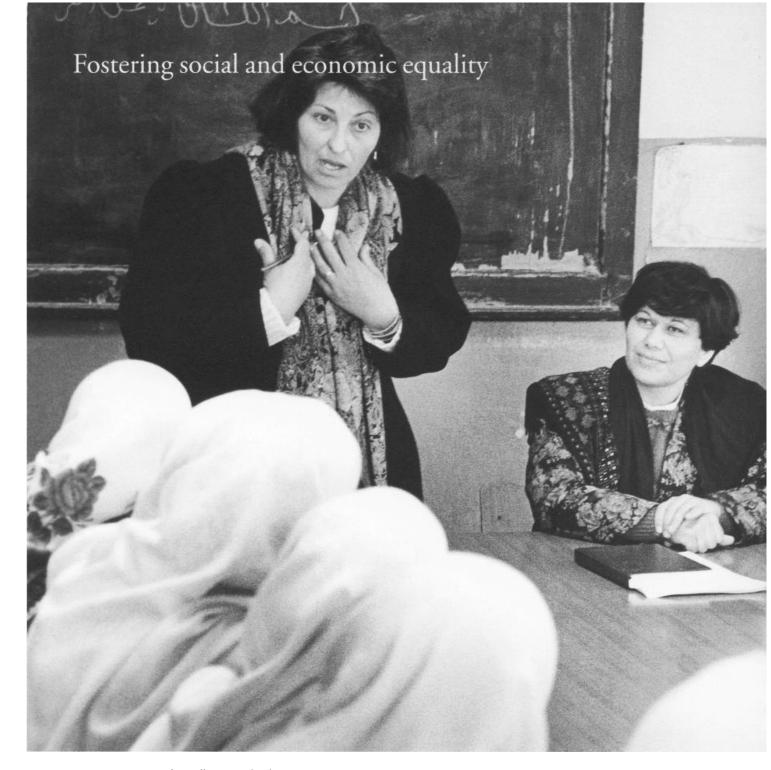


support regional and international cooperation toward a more peaceful and equitable international order based on tolerance among diverse peoples;

promote access to justice and protection of the full range of human rights for all members of society; ▶ foster effective, open, accountable, and responsive governmental institutions to secure the rule of law and the narrowing of inequality; and

► strengthen civil society through broad participation of individuals and civic organizations in charting the future. As some governments withdraw from providing extensive social services, private groups worldwide are devising new ways to aid the poor. In Poland, the SOS Social Assistance Foundation distributes coupons that enable Warsaw residents to get meals at selected restaurants.

"The Reality of Aid," published by ACTIONAID, reports that the public continues to overestimate the level of U.S. foreign aid. The finding was based on a *Washington Post* poll in which the average estimate was that such aid represents 26 percent of federal spending. In fact, all spending on international affairs accounts for about 1 percent of the federal budget and overseas development aid accounts for only 0.5 percent.



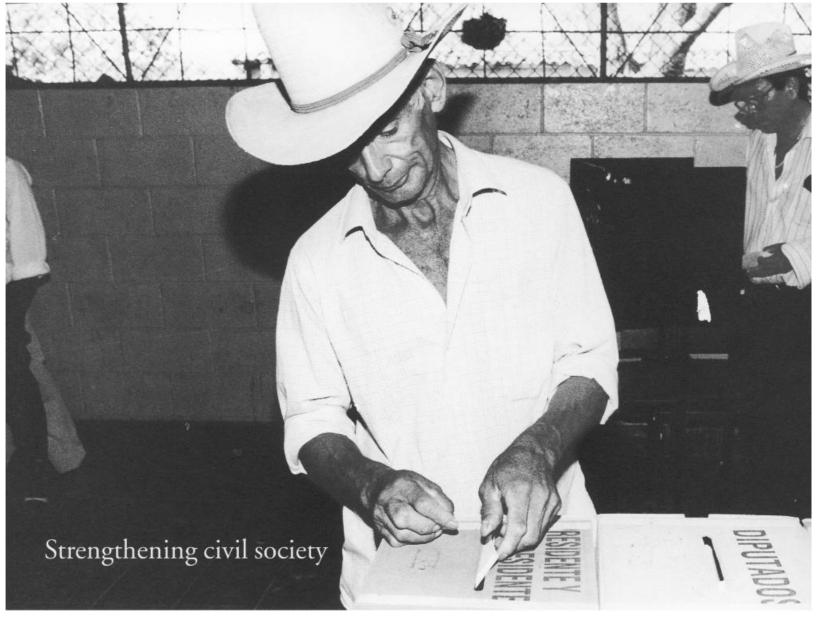
Bringing traditionally marginalized groups into society's mainstream helps make a nation stronger. On the West Bank, legal experts from the Women's Centre for Legal Aid and Counselling lead Palestinian women in a discussion of issues that affect their rights.



These four overarching goals are interdependent and mutually reinforcing. Although peace may be a precondition for the development of democratic societies, so are social justice and the realization of basic human rights. Further, pluralism and inclusive practices serve the common good both within particular societies and in the international community. Finally, these goals reflect the Foundation's commitment to support creative, dynamic institutions and individuals capable of adapting their work to changing realities in a time of great political, economic, and social change.

The work of the Peace and Social Justice program is carried out by two units: Governance and Civil Society; and Human Rights and International Cooperation. The first, under the leadership of June Zeitlin, director, and Gowher Rizvi, deputy director, strives to improve governmental performance and accountability, stimulate new approaches to designing and implementing public policies, and enhance the role of civic organizations, particularly philanthropies, in promoting democratic values. With Foundation support, grantee organizations are developing new social and economic indicators for more accurate measures of national well-being; finding ways to keep people involved in public affairs; and sponsoring national awards programs to recognize government

Relinking Life and Work: Toward a Better Future, a Foundation-supported report based on projects at Xerox Corporation, Corning, Inc., and Tandem Computers, found that work can be restructured to help employees better balance their professional and personal lives and also create a more productive workplace that yields positive bottom-line results for employers.



Through fair and open elections, many countries once torn apart by war are taking the first steps toward democracy. Here voters in El Salvador cast their ballots in a recent election. innovation and excellence in the United States, Brazil, and the Philippines. The unit is also responsible for managing the Foundation's work in Eastern Europe, which, among other activities, strengthens democratic institutions and legal and economic reforms.

The Human Rights and International Cooperation unit, headed by Anthony Romero, director, and Mahnaz Ispahani, deputy director, promotes the full range of internationally recognized human rights while supporting the efforts of countries and peoples to secure justice and peace. Grantee organizations throughout the world are promoting and protecting women's rights and civil rights, exploring human rights concepts and how they might be meaningfully applied in different cultures, seeking new ways to control the weapons trade that fuels international and domestic conflicts, and bringing new voices to foreign-policy making. The unit also manages the Foundation's work in Israel.

The work of these two units is linked by activities supervised by Mary McClymont, senior director of the Peace and Social Justice program. Assisting the vice president on management issues, she will also be



responsible for encouraging collaboration among program staff and designing ways to extract lessons learned from the Foundation's grant-making experience. Four special initiatives illustrate this approach.

The first will explore ways to help organizations build stronger public support for issues related to the rights of women, minorities, refugees, and migrants. To combat growing dissatisfaction with international social and economic development programs, a second initiative will explore new ways to improve their effectiveness while pursuing more equitable relations between aid-giving and aid-receiving nations.

Third, as nations increasingly shift responsibility for welfare and other social programs to local governments, the Foundation will support research on how such changes affect taxpayers and beneficiaries and on alternatives that might offer more equitable treatment for all. Lastly, the Foundation will devote special efforts to advancing understanding of the growing complexity of relations between civic organizations and governments throughout the world. For more than half a century, the United Nations has responded to conflicts with an international force designed to restore peace and protect civilians. In a refugee shelter in Bosnia, a United Nations soldier from Kenya reassures a small child.

Rights and Social Justice

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation heading Rights and Social Justice. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in rights and social justice has been carried out within the new program area Peace and Social Justice. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and Worldwide

Access to social justice/legal services

American Civil Liberties Union Foundation (New York) \$1,575,000

American Medical Association (Chicago) \$90,000

Aspen Institute (Queenstown, Md.) \$149,000

Bay Area Institute (San Francisco) \$150,000

California Rural Legal Assistance Foundation (San Francisco) \$100,000

California, University of (Los Angeles) \$900,000 Camera News (New York)* \$150,000

Center for Constitutional Rights (New York) \$150,000

Center for Policy Alternatives (Washington, D.C.) \$50,000

Center for Prevention of Sexual and Domestic Violence (Seattle) \$200,000

Center on Social Welfare Policy and Law (New York) \$300,000

Children's Defense Fund (Washington, D.C.) \$200,000

Citizens' Commission on Civil Rights (Washington, D.C.) \$170,000

Colgate Rochester Divinity School (Rochester, N.Y.) \$75,000

Congress of National Black Churches (Washington, D.C.) \$550,000



Following a series of arson attacks, the National Council of Churches of Christ in the U.S.A. helped galvanize support to rebuild African-American churches like this one in Tennessee.

Congressional Hispanic Caucus Institute (Washington, D.C.) \$400,000

Consortium for the National Equal Justice Library (Chicago) \$50,000

Council on Foundations (Washington, D.C.) \$200,000

Cuban American National Council (Miami, Fla.) \$100,000

Equal Rights Advocates (San Francisco) \$400,000

Eugene and Agnes E. Meyer Foundation (Washington, D.C.) \$250,000

Family Violence Prevention Fund (San Francisco) \$18,000

Farmworker Justice Fund (Washington, D.C.) \$75,000

Food Research and Action Center (Washington, D.C.) \$50,000

Foundation-administered project: for comparative international research on race relations \$168,000

Foundation for the Mid-South (Jackson, Miss.) \$500,000

Harvard University \$50,000

Howard University \$375,000 Indian Law Resource Center (Helena, Mont.) \$365,000

Institute for Alternative Journalism (San Franciso) \$50,000

Institute for Puerto Rican Policy (New York) \$240,000

Institute for Women Law and Development (Washington, D.C.) \$250,000

InterAction: American Council For Voluntary International Action (Washington, D.C.) \$150,000

Interdenominational Theological Center (Atlanta) \$300,000

Inter-Ethnic Forum of Houston (Texas) \$116,030

International Women's Media Foundation (Washington, D.C.)* \$75,000

Lawyers' Committee for Civil Rights Under Law (Washington, D.C.) \$1,450,000

Leadership Conference Education Fund (Washington, D.C.) \$237,000

MALDEF Property Management Corporation (Los Angeles) \$1,000,000

Maryland Public Broadcasting Foundation (Owings Mills, Md.)* \$200,000

Mendenhall Ministries (Mendenhall, Miss.) \$325,000

Mexican American Legal Defense and Educational Fund (Los Angeles) \$1,325,000 Midwest-Northeast Voter Registration Education Project (Chicago) \$75,000

Minnesota, University of (Minneapolis) \$250,000

Missouri, University of (St. Louis) \$114,000

NAACP Legal Defense and Educational Fund (New York) \$450,000

National Asian Pacific American Legal Consortium (Washington, D.C.) \$300,000

National Black Women's Health Project (Washington, D.C.) \$325,000

National Clearinghouse for Legal Services (Chicago) \$150,000

National Committee on Pay Equity (Washington, D.C.) \$100,000

National Council for Research on Women (New York) \$95,000

National Council of Churches of Christ in the U.S.A. (New York) \$600,000

National Economic Development and Law Center (Oakland, Calif.) \$150,000

National Forum for Black Public Administrators (Washington, D.C.) \$300,000

National Housing and Community Development Law Project (Oakland, Calif.) \$300,000

National Immigrant Legal Support Center (Los Angeles) \$150,000

National Latino Telecommunications Consortium (Los Angeles)* \$125,000 National Women's Law Center (Washington, D.C.) \$925,000

Native American Rights Fund (Boulder, Colo.) \$1,075,000

Native Americans in Philanthropy (Lumberton, N.C.) \$75,000

New York Theological Seminary \$75,000

9 to 5, Working Women Education Fund (Milwaukee, Wis.) \$275,000

NOW Legal Defense and Education Fund (New York) \$500,000

Pennsylvania Coalition Against Domestic Violence (Harrisburg) \$250,000

Points of Light Foundation (Washington, D.C.) \$50,000

Poynter Institute for Media Studies (St. Petersburg, Fla.) \$200,000

Prochoice Resource Center (Mamaroneck, N.Y.) \$75,000

Progressive Inc. (Madison, Wis.) \$170,000

Puerto Rican Legal Defense and Education Fund (New York) \$75,000

Quitman County Development Organization (Marks, Miss.) \$400,000

Religious Coalition for Reproductive Choice Educational Fund (Washington, D.C.) \$120,000

Rutgers University (New Brunswick) \$50,000



Bobbi Guttman, a vice president at Motorola, leads a workshop for Motorola managers on diversity in the workplace. She is chair of a project organized by Catalyst that is examining the obstacles to professional advancement faced by minority women.



The 9 to 5, Working Women Education Fund is helping female office personnel and other working women improve their status.



Continuing regional conflicts highlight the importance of organizations like the U.N. High Commissioner for Refugees, which protects the rights of those displaced by war and other strife, such as these Rwandan refugees arriving in Tanzania. St. Mary's University \$50,000

San Francisco State University \$500,000

Southern Regional Council (Atlanta) \$575,000

Southwest Voter Registration Education Project (San Antonio, Tex.) \$125,000

Tufts University \$199,000

United Theological Seminary (Dayton, Ohio) \$150,000

Vera Institute of Justice (New York) \$180,000

WGBH Educational Foundation (Boston)* \$300,000

William Benton Foundation (Washington, D.C.)* \$16,200

Women Employed Institute (Chicago) \$100,000

Women's Environment and Development Organization (New York) \$750,000

Women's Legal Defense Fund (Washington, D.C.) \$675,000



Esta Soler is founder of the Family Violence Prevention Fund, which has helped develop innovative programs to curb domestic abuse.

Refugees' and migrants' rights

American Bar Association Fund for Justice and Education (Chicago) \$325,000

American Civil Liberties Union Foundation (New York) \$390,000

American Immigration Law Foundation (Washington, D.C.) \$50,000

Catholic Charities, Diocese of Dallas (Texas) \$125,000

Center for Community Change (Washington, D.C.) \$320,000

Center for Third World Organizing (Oakland, Calif.) \$70,000

Chicago Coalition for Immigrant and Refugee Protection \$160.000

Coalition for Humane Immigrant Rights of Los Angeles \$125,000

Coalition for Immigrant and Refugee Rights and Services (San Francisco) \$125,000

Community Funds (New York) \$130,000

Florida Immigrant Advocacy Center (Miami) \$150,000

Haitian Refugee Center/Sant Refijie Ayisyin (Miami, Fla.) \$60,000

Human Rights Documentation Exchange (Austin, Tex.) \$125,000

Immigration and Refugee Services of America (Washington, D.C.) \$500,000

International Rescue Committee (New York) \$75,000 Massachusetts Immigrant and Refugee Advocacy Coalition (Boston) \$60,000

National Coalition of Advocates for Students (Boston) \$215,000

National Immigrant Legal Support Center (Los Angeles) \$361,049

National Immigration Forum (Washington, D.C.) \$800,000

National Immigration Project of National Lawyers' Guild (Boston) \$100,000

New York Immigration Coalition \$145,000

Northwest Immigrant Rights Project (Seattle) \$50,000

Refugee Policy Group (Washington, D.C.) \$325,000

Refugees International (Washington, D.C.) \$200,000

Southeast Asia Resource Action Center (Washington, D.C.) \$50,000

United Jewish Federation of Metrowest (Whippany, N.J.) \$100,000

Washington Lawyers Committee for Civil Rights and Urban Affairs (Washington, D.C.) \$50,000

Overseas Programs

Africa and Middle East

Access to social justice/legal services

Association for Civil Rights in Israel (Israel) \$200,000

Sikkuy—Association for Advancement of Equal Opportunity (Israel) \$100,000

Other

International Centre for the Legal Protection of Human Rights (England) \$50,000

Jerusalem Women's Action Center (Israel) \$200,000

Eastern and Southern Africa

Access to social justice/legal services

Egerton University (Kenya) \$25,000

International Commission of Jurists (Kenya) \$50,000

International Federation of Women Lawyers (Kenya) \$100,000

Kenya Human Rights Commission \$120,000

Legal Resources Foundation (Zimbabwe) \$265,000

Southern Africa Legal Services and Legal Education Project (Washington, D.C.) \$1,000,000

Women in Law and Development in Africa (Zimbabwe) \$80,000

Refugees' and migrants' rights

Mozambique, Government of (Ministry of Foreign Affairs and Cooperation) \$24,000

South Africa and Namibia

Access to social justice/legal services

Alexandra Community Law Clinic (South Africa) \$34,000

Black Sash Trust (South Africa) \$200,000

Cape Town, University of (South Africa) \$100,000

Constitutional Court Trust (South Africa) \$55,000

Fort Hare, University of (South Africa)

\$50,000 Institute for Public Interest Law

and Research (South Africa) \$100,000

Legal Assistance Trust (Namibia) \$40,000

Pretoria, University of (South Africa) \$100,000

Tshwaranang Legal Advocacy Centre to End Violence Against Women (South Africa) \$206,344

Middle East and North Africa

Access to social justice/legal services

Amman Business and Professional Women's Club (Jordan) \$55,000

Arab Lawyers Union (Egypt) \$35,000

Democracy and Workers' Rights Center (West Bank) \$75,000

Land and Water Establishment for Studies and Legal Services (West Bank) \$75,000

Asia

Bangladesh

Access to social justice/legal services

Bangladesh Legal Aid and Services Trust \$250,000

Bangladesh National Women Lawyers Association \$100,000

Madaripur Legal Aid Association \$275,000

India, Nepal, Sri Lanka

Access to social justice/legal services

Disha (India) \$147,500

Institute of Development Studies (India) \$75,000

Rural Development Organization (Karnataka, India) \$66,000

Stree Aadhar Kendra (India) \$140,000

Washington University \$20,000

Indonesia and the Philippines

Access to social justice/legal services

Asosiasi Perempuan Indonesia Untuk Keadilan (Indonesia) \$75,000

Association for the Promotion of the Status of Women— Gender and Development Research Institute (Thailand) \$25,000

Indonesia, Government of (Office of the State Minister for Women's Affairs) \$26,000



Fempress, which publishes a monthly journal on women's issues in Latin America, is a key source of information for members of the women's movement like those shown here at a rally in Santiago, Chile.



The National Coalition of Advocates for Students aims to improve educational access and equity for young immigrants to the United States.

Vietnam and Thailand

Access to social justice/legal services

Friends of Women Foundation (Thailand) \$217,800

Other

Friends of Women Foundation (Thailand) \$50,000

China

Access to social justice/legal services

Basic-Level Court of Yong Ji County

\$48,000

British Columbia, University of (Canada) \$52,000

Central Institute of Prosecutor's Management \$60,000

China Southwest University of Political Science and Law \$92,000

China University of Political Science And Law \$40,000

China Women Judges' Association \$42,000

Chinese Academy of Social Sciences \$40,000

Chinese Mental Health Association \$63,675

Chinese Society of Law for Minority Nationalities \$30,000

Institute of Chinese Studies \$30,000

Institute of Legal Culture, Dongfang Academy \$13,000 National Committee on United States–China Relations (New York) \$67,500

Peking University \$10,000

Qianxi Women's Federation \$75,000

Latin America and Caribbean

Access to social justice/legal services

Center for Research for Feminist Action (Dominican Republic) \$100,000

Latin American Institute for Transnational Studies (Chile)* \$140,000

Andean Region and Southern Cone

Access to social justice/legal services

Latin American Institute of Doctrine and Social Studies (Chile) \$75,000

Office for the Defense of the Rights of Women (Peru) \$180,000

Brazil

Access to social justice/legal services

Afro Reggae Cultural Group \$67,370

Casa Dandara National Association \$30,000

Center for Coordination of Marginalized Populations \$60,000

Feminist Studies and Assistance Center \$323,000

Institute for Advancement of Equity–Consulting Services, Research and Studies \$30,000

Interdisciplinary Nucleus for Research and Social Action \$50,000 Olodum Cultural Group \$50,000

Queiroz Filho Brazilian Institute for Community Studies and Support \$215,000

São Paulo Women's Union \$31,750

Society for Black Studies and Citizenship in the State of Sergipe \$53,000

Society for Defense of Human Rights in Maranhao \$90,000

Other

Brazilian Anthropological Association \$40,000

Russia and Eastern Europe Russia

Other

Network of East-West Women (Washington, D.C.) \$28,000

Eastern Europe

Access to social justice/legal services

International Centre for the Legal Protection of Human Rights (England) \$58,000

Grants to Individuals \$248,050

Total, Rights and Social Justice \$37,472,268

Child Abuse and Neglect.

African Network for the Prevention and Protection Against Child Abuse and Neglect, Enugu, Nigeria: Chumez Enterprises, 1995.

Derechos humanos y derecho humanitario: 1995. (Human Rights, and Humanitarian Law: 1995.) Bogotá: Colombian Commission of Jurists, 1996.

Empowerment and Accountability for Sustainable

Towards Theory Building in the Social Sciences. Philippine Social Science Council. Quezon City, Philippines: Papers and Proceedings of the Third National Social Science Congress, December 9-10, 1993.

Guiding Principles on Medical Ethics and Human Rights in Tanzania. Medical Association of Tanzania. Dar es Salaam,

Tanzania: 1995.

Informe anual sobre la situación de los derechos humanos en la Argentina, 1995.

(Annual Report on the Human Rights Situation in Argentina, 1995.). Buenos Aires: Center for Legal and Social Studies, 1996.

Informe sobre la situación de los derechos humanos en el Porú en 1995

(Report on the Human Rights Situation in Peru, 1995.) Lima: Human Rights National Coordinator (Peru), 1996.

La Defensoria del Pueblo: retos y posibilidades.

(The Public Defender: Challenges and Possibilities.) Cantero, Juan Carlos, et al. Lima: Andean Commision of Jurists, 1995.

Los Dientes del Dragón (The Dragon's Teeth.) Lanssiers, Hubert, Lima, Peru: Legal Defense Institute (IDL), 1995.

Los inocentes tienen nombres: 300 historias de prisión injusta en el Perú.

(The Innocents Have Names: 300 Case Histories of Unjust Imprisonment in Peru.) Lima: Episcopal Commission of Social Action (CEAS) and Legal Defense Institute (IDL), 1995.

The Nigerian Child's Rights Monitor.

African Network for the Prevention and Protection Against Child Abuse and Neglect. Enugu, Nigeria: Chumez Enterprises, 1996.

(Legal Reform: Problems of Analysis and Coverage in the Mass Media.) Russian Legal Academy. Moscow: Sudebnaya Reforma, 1996.

Profile of Women Leaders (in Thai). Gender and Development Research Institute (GDRI). Bangkok, Thailand: GDRI, January 1996.

The Promise to the Child.

African Network for the Prevention and Protection Against Child Abuse and Neglect. Enugu, Nigeria: Chumez Enterprises, 1996.

Protection of African Refugees and Internally Displaced Persons.

OAU African Commission on Human and Peoples' Rights. Harare, Zimbabwe, 1995.

Qawanin al-Sudan wa Huquq al-Insan

(Sudanese Laws and Human Rights.) Al-Tigani, Mahgoub. Cairo: Sudanese Organisation for Human Rights, 1996.

Strengthening Management Through NTFP Enterprise Development.

(Proceedings of the Third National Rattan Conference, August 24-25, 1995.) Manila, Philippines: Philippine Business for Social Progress.

Unequal Rights: Discriminatory Laws and Practices Against Women in Nigeria.

Constitutional Rights Project. Lagos, Nigeria: Mbeyi & Associates, 1995.

Zhongguo Gongmin Quanli Fazhan Yanjiu

(Advance To an Age of Rights. Research on the Development of Civil Rights in China.) Xia Yong, ed., Beijing: China University of Politics and Law, 1995.

Governance and Public Policy

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading Governance and Public Policy. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in governance and public policy has been carried out within the new program area Peace and Social Justice. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and Worldwide

Governmental structures and functions

Anoka, City of (Minnesota) \$20,000

Arlington County (Virginia) \$100,000

Boston, City of \$20,000

California, State of \$100.000

Council for Excellence in Government (Washington, D.C.) \$628,000

Florida, State of (Tallahassee) \$120,000

Foundation-administered project: for the awards presentation ceremony and public information activities of the Innovations in American Government program \$1,345,000

Harvard University \$1,870,000

Hennepin County (Minnesota) \$20,000

Illinois, State of (Springfield) \$20,000

King County (Washington) \$20,000

Linn County (Iowa) \$20,000

Michigan Community Service Commission (Lansing) \$98,000 New York, City of \$100,000

New York, State of \$20,000

North Carolina, State of \$20,000

Ohio, State of \$20,000

Oregon, State of \$100,000

Riverside, County of (California) \$100,000

Santa Fe, City of (New Mexico) \$100,000

Tacoma Public Schools (Washington) \$20,000

Texas, University of (Austin) \$140,000

Civic participation

American Forum (Washington, D.C.) \$50,000

Brazilian Institute for Social and Economic Analysis \$600.000

Center for a New Democracy (Washington, D.C.) \$50,000

Center for Community Change (Washington, D.C.) \$40,000

Center for Policy Alternatives (Washington, D.C.)

\$200,000

Center for Women Policy Studies (Washington, D.C.) \$200,000

Community Service Society of New York \$150,000

First Church of Christ, Scientist (Boston) \$50,000 Fordham University \$22,000

Foundation-administered project: continuation of the Foundation's activities related to South Africa \$604,000

Harvard University \$182,000

Institute for the Arts of Democracy (Brattleboro, Vt.) \$56,000

International Institute for Sustainable Development (Canada) \$4,500

International Women's Media Foundation (Washington, D.C.) \$63,000

Kansas, University of (Lawrence) \$200,000

Media Access Project (Washington, D.C.) \$50,000

Metro IAF (New York) \$150,000

Michigan, University of \$50,000

National Board of the Young Women's Christian Association of the U.S.A. (New York) \$250,000

National Conference of State Legislatures (Denver, Colo.) \$50,000

National Voting Rights Institute (Boston) \$50,000

New York Bar Foundation (Albany) \$50,000

9 to 5, Working Women Education Fund (Milwaukee) \$100,000 Rock the Vote Education Fund (Santa Monica, Calif.) \$100,000

Rutgers University (New Brunswick) \$175,000

Stanford University \$20,000

Texas Interfaith Education Fund (Austin) \$367,500

Tides Center (San Francisco) \$100,000

Washington, University of (Seattle) \$75,000

Western States Center (Portland, Ore.) \$225,000

William J. Brennan Jr. Center for Justice (New York) \$75,000

Strengthening public service

Academy for Educational Development (Washington, D.C.) \$252,000

American Council on Education (Washington, D.C.) \$12,000

Fund for the City of New York \$3,100,000

Dispute resolution

National Institute for Dispute Resolution (Washington, D.C.) \$700,000

Public policy analysis

Alabama Arise (Montgomery) \$100,000

Aspen Institute (Queenstown, Md.) \$300,000 Association for Public Policy Analysis and Management (Washington, D.C.) \$20,000

Benedictine Resource Center (San Antonio, Tex.) \$100,000

Brookings Institution (Washington, D.C.) \$75,000

California Budget Project (Sacramento) \$150,000

Catalyst (New York) \$350,000

Center for Women Policy Studies (Washington, D.C.) \$250,000

Center on Budget and Policy Priorities (Washington, D.C.) \$1,580,000

Children's Action Alliance (Phoenix, Ariz.) \$100,000

Economic Policy Institute (Washington, D.C.) \$600,000

Educational Broadcasting Corporation (New York)* \$400,000

Fiscal Policy Institute (Latham, N.Y.) \$100,000

\$100,000

Foundation-administered project: for the publication and dissemination of *Relinking Life and Work: Toward a Better Future* \$150,000

Fordham University \$350,000

Harvard University \$385,000

Joint Center for Political and Economic Studies (Washington, D.C.) \$1,000,000

Lutheran University Association (Valparaiso, Ind.) \$50,000 Maine Center for Economic Policy (Augusta) \$150,000

Michigan League for Human Services (Lansing) \$100,000

Minnesota Public Radio (St. Paul)* \$150,000

Minnesota, University of (Minneapolis) \$40,000

NALEO Education Fund (Los Angeles) \$600,000

National Interfaith Committee for Worker Justice (Chicago) \$50,000

New York, State University of (Albany) \$300,000

North Carolina Justice and Community Development Center (Raleigh) \$100,000

Public/Private Ventures (Philadelphia, Pa.) \$134,000

Redefining Progress (San Francisco) \$400,000

Simmons College \$400,000

Tax Equity Alliance for Massachusetts Education Fund (Boston) \$150,000

Voices for Illinois Children (Chicago) \$100,000

Washington, University of (Seattle) \$100,000

Wisconsin, University of (Madison) \$500,000



The Consortium for Campaign Media Analysis, a group of media scholars and political scientists from around the country, is analyzing the effects of the media in the 1996 U.S. Presidential campaign.



Robert Greenstein is executive director of the Center on Budget and Policy Priorities, which helps protect the interests of moderateand low-income Americans.

Philanthropy

Center for Non-Profit Management (Dallas, Tex.) \$19,000

Congress of National Black Churches (Washington, D.C.) \$100,000

Council of Michigan Foundations (Grand Haven) \$5,850

Council on Foundations (Washington, D.C.) \$949,600

European Foundation Centre (Belgium) \$300,000

Foundation-administered project: for the second year of the Foundation's Program Assistants program, to recruit new applicants, and to conduct professional development activities with other foundations \$800,000



Ernesto Cortés, Jr., of the Industrial Areas Foundation has helped many residents of the southwestern United States improve their lives through community organizing.

Foundation-administered project: for the Foundation's Corporate Involvement Initiative, which aims to increase business involvement in communities and economic development \$500,000

Foundation-administered project: to provide program assistance to grantees and partner institutions in Central Europe \$120,000

Foundation-administered project: to distribute the video and report, *Investing for Social Gain: Reflections on Two Decades of Program-Related Investments* \$75,000

Foundation-administered project: for an examination of the Foundation's program activities over the past few decades \$321,000

Foundation Center (New York) \$450,000

Global Fund for Women (Palo Alto, Calif.) \$425,000

Independent Sector (Washington, D.C.) \$7,400

National Funding Collaborative on Violence Prevention (Washington, D.C.) \$500,000

National Video Resources (New York)* \$5,000

Native Americans in Philanthropy (Lumberton, N.C.) \$90,000

New York Regional Association of Grantmakers \$10,000

Northern California Grantmakers (San Francisco) \$6,000 Puerto Rico Community Foundation (Hato Rey) \$25,000

Rainbow Research (Minneapolis) \$198,000

St. Croix Foundation for Community Development (Virgin Islands) \$150,000

San Francisco, University of \$25,000

Southern California Association for Philanthropy (Los Angeles) \$6,500

Synergos Institute (New York) \$175,000

Twenty-First Century Foundation (New York) \$150,000

Visionaries (Braintree, Mass.)* \$250,000

Women and Philanthropy (New York) \$6,000

Other

National Public Radio (Washington, D.C.)* \$4,500,000

New York City Commission for the United Nations and Consular Corps \$3,000

Public Radio International (Minneapolis)* \$3,000,000

United Way of New York City \$16,921

WNYC Foundation (New York)* \$1,000,000

Overseas Programs

Africa and Middle East

Public policy analysis

Adva Center (Israel) \$65,000

Ir Shalem (Israel) \$55,000

Philanthropy

Council on Foundations (Washington, D.C.) \$60,000

Eastern and Southern Africa

Local initiatives

Foundation-administered project: for consultations and meetings in East Africa to advance the new Africa initiative \$75,000

Civic participation

African Association of Political Science (Zimbabwe) \$403,000

Civil Rights Project (Boston)* \$3,300,000

Development Innovations and Networks (Switzerland) \$100,000

Legal and Human Rights Centre (Tanzania) \$93,000

Mozambique, Government of (Institute of Social Communication, Ministry of Information)* \$223,000

Mozambique, Government of (Ministry of Culture, Youth, and Sports) \$400,000

Mwelekeo Wa NGO (Zimbabwe) \$100,000

Silveira House Mission Centre (Zimbabwe) \$140,000

Southern Africa Communications for Development (Zimbabwe)* \$125,500 Tanzania, Government of (Court of Appeal of Tanzania) \$200,000 Tanzania, Government of (Tanzania Parliament)

\$300,000 Tanzania Women Lawyers' Association \$122,000 Women Legal Aid Centre

(Tanzania) \$92,000

Public policy analysis

Earthcare Africa Monitoring Institute (Kenya) \$75,000

Florida, University of (Gainesville) \$10,000

Zimbabwe, University of \$750,000

South Africa and Namibia Governmental structures and functions

Witwatersrand, University of the (South Africa) \$22,000

Local initiatives

Development Resources Trust (South Africa) \$225,000

National NGO Coalition (South Africa) \$100,000

Civic participation

District Six Museum Foundation (South Africa) \$75,000

Foundation for Contemporary Research (South Africa) \$145,000

Khululekani Institute for Democracy (South Africa) \$50,000

Sangonet Information Association (South Africa) \$150,000

Strengthening public service

Centre for Applied Social Sciences (Namibia) \$20,000

Joint Universities Public Management Educational Trust (South Africa) \$230,000

Namibia, University of \$197,000

Public policy analysis

Centre for Policy Studies (South Africa) \$50,000

Institute for Democracy in South Africa \$1,165,000

Philanthropy

FREESA Development Fund for South Africa (Boston) \$25,000

National Foundation for Fundraising Training (South Africa) \$28,000

West Africa

Local initiatives

Institute of International Education (New York) \$500,000

Civic participation

Ashoka: Innovators for the Public (Arlington, Va.) \$250,000

Center for Alternative Public Policy (Senegal) \$71,000

Société Africaine d'Education et de Formation pour le Développement (Senegal) \$500,000

Strengthening public service

Akina Mama Wa Afrika (England) \$100,000



The New York City Police Department won a 1996 Innovations in American Government award for Compstat, a system of computerized crime-tracking and improved deployment of police that has helped the city reduce crime.



Greater Avenues for Independence (GAIN) in Riverside County, Calif., won a 1996 Innovations in American Government award for its welfare-to-work program.

Public policy analysis

Abantu for Development (England) \$275,000

Middle East and North Africa Civic participation

Bosphorus University (Turkey) \$40,000

Centre for Lebanese Studies (England) \$69,560

Foundation-administered project: to develop an initiative on governance and gender in the Arab world \$37,000

Lebanese Association of Women Researchers \$40,000

Lebanese Center for Policy Studies \$83,000

NOUR—Arab Women's Association (Egypt) \$74,820

Palestinian NGO Network (West Bank) \$95,000

Public policy analysis

Association of Palestinian Policy Research Institutions (West Bank) \$270,000

Egypt, Government of (on behalf of Cairo University) \$75,000

International Development Research Centre (Canada) \$74,250

Lebanese Association of Women Researchers \$154,760

Asia

Public policy analysis

American Council of Learned Societies Devoted to Humanistic Studies (New York) \$20,000

Philanthropy

Asia Foundation (San Francisco) \$75,000

Council on Foundations (Washington, D.C.) \$7,500

International Center for Not-For-Profit Law, U.S.A. (Washington, D.C.) \$15,000

Japan Center for International Exchange (Tokyo) \$75,000

Bangladesh

Civic participation

Gono Gobeshona O Unnayan Foundation \$100,000

Gonoshahajjo Sangstha \$150,000

Institute for Public Policy Advocacy (Washington, D.C.) \$650,000

Nijera Kori \$270,000

Public policy analysis

Asia Foundation (San Francisco) \$129,000

CARE International (New York) \$250,000

Dhaka, University of \$100,000

Foundation for Applied Policy Research \$120,000

News Network \$58,000

Power and Participation Research Centre \$160,000

India, Nepal, and Sri Lanka

Governmental structures and functions

International Centre for Ethnic Studies (Sri Lanka) \$49,000

Civic participation

Aalochana Centre for Documentation and Research on Women (India) \$65,000

ACTIONAID (England) \$70,000

Association of Voluntary Agencies for Rural Development (India) \$110,000

Society for Participatory Research in Asia (India) \$110,000

Vacha Charitable Trust (India) \$18,500

Strengthening public service

Institute for Socio-Economic Development (India) \$50,000

Public policy analysis

Centre for the Study of Developing Societies (India) \$30,000

Institute of Development Studies (India) \$100,000

Philanthropy

Voluntary Action Network India \$39,100

Indonesia and the Philippines

Bank Information Center (Washington, D.C.) \$140,000

Center for Agrarian Reform Transformation (Philippines) \$58,000 Center for Legislative Development (Philippines) \$64,000

Evelio B. Javier Foundation (Philippines) \$80,500

Institute of Politics and Governance (Philippines) \$72,500

Pambansang Kilusan Ng Mga Samahang Magsasaka (Philippines) \$164,000

Philippine Center for Investigative Journalism \$75,000

Social Action Center—Diocese of Legazpi (Philippines) \$50,000

Soil and Water Conservation Foundation (Philippines) \$150,000

Public policy analysis

Akatiga Foundation (Indonesia) \$175,000

Ateneo de Manila University (Philippines) \$18,400

Institute for Popular Democracy (Philippines) \$210,000

Legal Rights and Natural Resources Center (Philippines) \$75,000

China

Governmental structures and functions

China University of Political Science and Law \$65,000

Chinese Training Center for Senior Judges \$115,000

Comparative Economic and Social Systems \$50,000

Department of Basic-Level Government \$110,000 Duke University \$20,000

Legislative Affairs Commission of National People's Congress \$75,000

National Committee on United States–China Relations (New York) \$64,450

Oriental College of Law and Commerce \$85,000

Peking University \$110,000

South Central University of Political Science and Law \$111,000

State Council Leading Group for the Economic Development of Poor Areas \$200,000

Civic participation

National Committee on United States–China Relations (New York) \$47,500

Public policy analysis

China Workers Movement College \$14,000

Chinese Academy of Social Sciences \$74,000

Social sciences

American Council of Learned Societies Devoted to Humanistic Studies (New York) \$36,085

Duke University \$136,159

Vietnam and Thailand

Strengthening public service

Association for the Promotion of the Status of Women—Gender and Development Research Institute (Thailand) \$155,000

Public policy analysis

California, University of (Berkeley) \$22,000

Latin America and Caribbean

Civic participation

Association of Latin American Development Organizations (Costa Rica) \$30,000

Andean Region and Southern Cone

Governmental structures and functions

Center for Socio-Economic Studies of Development (Chile) \$75,000

Chile, University of \$39,000

Pontifical Catholic University of Peru \$700,000

Civic participation

Center for Social Studies and Publications (Peru) \$35,000

Citizen Power Foundation (Argentina) \$250,000

Ideas Foundation (Chile) \$75,000

Institute for Dialogue and Proposal (Peru) \$75,000

Isis International— Women's Information and Communication Service (Chile) \$70,000

Public policy analysis

Latin American Faculty of Social Sciences (Costa Rica) \$200,000

Philanthropy

Social Foundation (Colombia) \$48,000



Current challenges and opportunities for women in philanthropy were a main topic of discussion at the annual conference of the Women's Funding Network, which helps women's organizations in the United States obtain technical and financial support.



The Ideas Foundation is helping Chilean youth groups play a more active part in Chile's transition to democracy.

Brazil

Governmental structures and functions

Brazilian Institute for Social and Economic Analysis \$150,000

Center for Studies of Contemporary Culture \$100,000

Institute of Economic, Social and Political Studies \$24,000 José Bonifacio University

\$100,000

Civic participation

Institute for Socio-Economic Studies \$175,000 Institute for Studies of Religion

\$25,000

Public policy analysis

São Paulo, University of \$49,720

Texas, University of (Austin) \$95,553

Philanthropy

Ashoka: Innovators for the Public (Arlington, Va.) \$130,000

Group of Institutes, Foundations and Corporations \$75,000

Mexico and Central America

Governmental structures and functions

Center for Teaching and Research in Economics (Mexico) \$154,500

Central American University (Nicaragua) \$185,000

Guillermo Manuel Ungo Foundation (El Salvador) \$25,000

Latin American Faculty of Social Sciences (Costa Rica) \$20,000

Mexico, College of \$140,000

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Texas, University of (Austin) \$13,000

Strengthening public service

Center for Teaching and Research in Economics (Mexico) \$75,000

Colorado, University of (Denver) \$29,000

Heriberto Jara Center (Mexico) \$149,000

National College of Political Sciences and Public Administration (Mexico) \$42,000

Public policy analysis

Foundation-administered project: for a meeting of grantees, policy makers, and funders addressing local governance issues in Mexico and Central America \$60,000

Research Center for Development (Mexico) \$125,000

Philanthropy

Mexican Center for Philanthropy \$55,000

Russia and Eastern Europe Russia

Civic participation

Women's Congress of the Kola Peninsula \$62,600

Philanthropy

Charities Aid Foundation America (New York) \$163,000

Internews Network (Moscow) \$75,000

Eastern Europe

Civic participation

Foundation in Support of Local Democracy (Poland) \$30,000

Slovak Academic Information Agency (Slovakia) \$100,000

Philanthropy

Stefan Batory Foundation (Poland) \$2,500,000

Grants to Individuals \$420,555

Total, Governance and Public Policy S61,415,783

Al Seyasa wa Al Nizam Al Mahaly fi Misr.

(Politics and Local Government in Egypt.) Abdel Maksoud, Ibrahim, et al. Cairo: Center for Political and Strategic Studies, 1996.

Boss:

Five Case Studies of Local Politics in the Philippines. Lacaba, Jose F., ed. Pasig City, Philippines: Philippine Center for Investigative Journalism and Institute for Popular Democracy, 1995.

Gobierno local y participacion ciudadana, 4 vols. (Central America: Local government and citizen participation, 4 vols., one on each of the following countries-Costa Rica, Guatemala, Honduras, and Nicaragua.) Cordova-Macias, Ricardo, Hilda Caldera, and Gustavo Landaverde. San Salvador, El Salvador: Latin-American Faculty of Social Sciences, 1996.

Comparing Brazil and South Africa: Two transitional states in political and economic perspective.

Friedman, Steven, and Riaan deVilliers. Cape Town, South Africa: Centre for Policy Studies, 1996.

Con el Solo Dicho de la Agraviada: ¿Es discriminatoria la justicia en procesos por violación sexual de mujeres?

(On the Female Victim's Word Alone: Does the Justice System Discriminate Against Rape Victims?) Siles Vallejos, Abraham. Lima, Peru: Office for the Defense of the Rights of Women (DEMUS), 1995.

Conjuncture

Institute for Popular Democracy. Quezon City, Philippines: July-August 1996, and September-October 1996.

Decentralization, Autonomy and the Local Government Code: The Challenge of Implementation, Volume I.

Legaspi, Perla E., ed. Manila, Philippines: Local Government Center, College of Public Administration, University of the Philippines, 1995.

Decentralised Governance in Aslan Countries.

Aziz, Abdul, and David D. Arnold, eds. New Delhi: Sage Publications, 1996.

De la politica mexicana y sus medios. ¿Deterioro institucional o nuevo pacto politico?

(The Evolution of Mexican Politics: Continued Erosion or New Political Pact?) Valenzuela-Blum, Roberto. Mexico City: Centro de Investigacion para el Desarrollo, Miguel Angel Porrua, 1996.

Diploma Nacional en Politica Publica y Gobierno Local.

(National Diploma in Public Policy and Local Government.) Mexico City: Colegio Nacional de Ciencias Politicas y Administracion Publica, 1996.

Gobiernos Municipales en Mexico: Entre la Modernizacion y la Tradiccion Politica.

(Municipal Governments in Mexico: Between Modernization and Political Tradition.) Guillen Lopez, Tonatiuh. Mexico City: El Colegio de la Frontera Norte and Miguel Angel Porrua, 1996.

Jueces y Periodistas; Cómo se informa y cómo se juzga. (Judges and Journalists, How Cases are Reported and Judged.) Kohen, Beatriz. Buenos Aires: Citizen Power Foundation, 1996.

Karnataka Panchayat Elections 1995: Process, Issues and Membership Profile.

Subha, K. New Delhi: Institute of Social Sciences and Concept Publishing Company, 1996.

La investigación social en sexualidad en Chile 1984-1994.

(Social Research on Sexuality in Chile 1984-1994.) Lamadrid, Silvia, and Soledad Muñoz. Santiago: University of Chile, Social Science Faculty, 1996.

Las rondas campesinas y la derrota de Sendero

(Peasant Self-Defense and the Defeat of the Shining Path.) Degregori, Carlos Ivan, José Coronel, Ponciano Del Pino, and Orin Starn. Lima, Peru: Institute of Peruvian Studies (IEP), 1996.

Legal Status of Refugee and Internally Displaced Women in Africa. Dirasse, L., M. Garling, and C. Mulei, eds. Nairobi,

Kenya: UNIFEM, 1996.

Making the Grade

How do Public Service Providers in Your City Measure Up? A Guide for Implementing the Report Card Methodology.

Upp, Stephanic, Bangalore, India: Public Affairs Centre, September 1995.

Refugees and Regional Security in South Asia

Muni, S.D., and Lok Raj Baral, eds. New Delhi: Konark Publishers, 1996.

Sri Lanka: The Devolution Debate.

Colombo: International Centre for Ethnic Studies, 1996.

Zhongguo Zhidu Bianqian De Anli Yanjiu.

(Case Studies in China's Institutional Change.) Zhang Shuguang, ed. Shanghai: Shanghai People's Publishing House, 1996.

International Affairs

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading International Affairs. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in international affairs has been carried out within the new program area Peace and Social Justice. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and Worldwide

Civil and political liberties

Article 19 Research and Information Centre on Censorship (England) \$117,000

Human Rights Watch (New York) \$565,000

Limburg, University of (Netherlands) \$36,500

Washington Office on Latin America (Washington, D.C.) \$398,000

Writers and Scholars Educational Trust (England) \$400,000

International human rights

American Association for the Advancement of Science (Washington, D.C.) \$75,000

Aspen Institute (Queenstown, Md.) \$180,000

George Washington University \$38,000

International Commission of Jurists (Switzerland) \$295,000

International Training Centre of the ILO (Turin, Italy) \$100,000

Parliamentarians for Global Action (New York) \$125,000 Rainforest Foundation (New York) \$300,000

Stichting Centre on Housing Rights and Evictions (Netherlands) \$50,000

World Federalist Movement Institute for Global Policy (New York) \$125,000

Intergroup relations

American Indian Treaty Council Information Center (San Francisco) \$75,000

Foundation on Inter-Ethnic Relations (Netherlands) \$250,000

Tides Foundation (San Francisco) \$62,000

Human rights education, scholarship, and dissemination of information

BSR Education Fund (Washington, D.C.) \$300,000

Center for Economic and Social Rights (New York) \$200,000

Clarity Educational Productions (Berkeley, Calif.)* \$75,000

Global Center (New York)* \$336,500

Human Rights Council of Australia \$250,000

Institute of International Education (New York) \$136,000 International Monitor Institute (Los Angeles)* \$125,000

Investor Responsibility Research Center (Washington, D.C.) \$100,000

Minnesota Advocates for Human Rights (Minneapolis) \$108,000

World University Service (Chile) \$50,000

Refugee and migration policy

International Council of Voluntary Agencies (Switzerland) \$240,000

Peace and security

Aspen Institute (Germany) \$75,000

Brookings Institution (Washington, D.C.) \$52,000

Brown University \$60,000

Carnegie Endowment for International Peace (Washington, D.C.) \$250,000

Council on Foreign Relations (New York) \$50,000

Fund for Peace (Washington, D.C.) \$123,500

Henry L. Stimson Center (Washington, D.C.) \$400,000 Institute for War and Peace Reporting (England) \$200,000

Maryland, University of (Adelphi) \$411,000

Monterey Institute of International Studies (California) \$400,000

Royal Institute of International Affairs (England) \$300,000

Saferworld (England) \$100,000

Search for Common Ground (Washington, D.C.) \$135,000

Southampton, University of (England) \$220,000

Stockholm International Peace Research Institute (Sweden) \$525,000

United Nations (New York) \$544,000

Verification Technology Information Centre (England) \$236,000

Woodrow Wilson International Center for Scholars (Washington, D.C.) \$30,000

U.S. foreign policy

Association of Professional Schools of International Affairs (Washington, D.C.) \$22,000

Atlantic Council of the United States (Washington, D.C.) \$301,000

Carnegie Endowment for International Peace (Washington, D.C.) \$162,000

Council on Foreign Relations (New York) \$75,000 Freedom House (New York) \$105,000

Global Center (New York)* \$200,000

InterAction: American Council for Voluntary International Action (Washington, D.C.) \$250,000

Inter-American Dialogue (Washington, D.C.) \$360,000

International Women's Media Foundation (Washington, D.C.) \$63,000

National Council of La Raza (Washington, D.C.) \$275,000

Tomas Rivera Policy Institute (Claremont, Calif.) \$445,000

International economics and development

African Economic Research Consortium (Kenya) \$100,000

American Enterprise Institute for Public Policy Research (Washington, D.C.) \$150,000

Bread for the World Institute on Hunger and Development (Silver Spring, Md.) \$350,000

Brookings Institution (Washington, D.C.) \$75,000

Center for Strategic and International Studies (Washington, D.C.) \$100,000

Centre for Economic Policy Research (England) \$200,000 Council on Foreign Relations (New York) \$150,000

Foundation for International Environmental Law and Development (England) \$225,000

Institute for International Economics (Washington, D.C.) \$1,362,500

InterAction: American Council for Voluntary International Action (Washington, D.C.) \$300,000

National Bureau of Economic Research (Cambridge, Mass.) \$575,000

National Peace Corps Association (Washington, D.C.) \$150,000

Overseas Development Council (Washington, D.C.) \$1,500,000

Tides Foundation (San Francisco) \$100,000

Toronto, University of (Canada) \$200,000

Transparency International (Germany) \$100,000

International relations

Carnegie Endowment for International Peace (Washington, D.C.) \$430,000

Independent Broadcasting Associates (Littleton, Mass.)* \$75,000



Minnesota Advocates for Human Rights conducted research in several countries on the effects of civil violence on children like these Mayans in the Mexican state of Chiapas.



Tim Coulter is founder and executive director of the Indian Law Resource Center, which helped draft the United Nations Declaration of the Rights of Indigenous Peoples. Inter-American Dialogue (Washington, D.C.) \$500,000

New York University \$3,000,000

Oxford University (England) \$40,000

Sisterhood Is Global Institute (Bethesda, Md.) \$75,000

United Nations (New York)* \$75,000

Women Living Under Muslim Laws (France) \$242,000

International organizations and law

Brown University \$400,000

Dag Hammarskjold Foundation (Sweden) \$48,000

International law

Pennsylvania State University \$75,000

Overseas Programs

Africa and Middle East

Civil and political liberties

Hamoked: Center for the Defense of the Individual (Israel) \$90,000

New Israel Fund (Washington, D.C.) \$225,000

International human rights

Emory University \$318,000

Human rights education, scholarship, and dissemination of information

Middle East Research and Information Project (Washington, D.C.) \$250,000

Peace and security

African Centre for the Constructive Resolution of Disputes Education Trust (South Africa) \$45,000

Communita Di Sant' Egidio (New York) \$56,000

U.S. foreign policy

Africa Leadership Foundation (New York) \$50,000

Africa News Service (Durham, N.C.) \$200,000

Africa Policy Information Center (Washington, D.C.) \$430,000

American Assembly (New York) \$75,000

Columbia University \$5,000

Constituency for Africa (Washington, D.C.) \$155,000

Council on Foreign Relations (New York) \$15,000

Institute on African Affairs (Washington, D.C.) \$10,000

Maryland, University of (Adelphi) \$62,500

Phelps-Stokes Fund (New York) \$29,000

International relations

Africare (Washington, D.C.) \$1,050,000

International Foundation for Education and Self Help (Phoenix, Ariz.) \$170,000

National Council of Negro Women (Washington, D.C.) \$60,000

Eastern and Southern Africa

Civil and political liberties

Foundation for Human Rights Initiative (Uganda) \$150,000

IPS—Inter Press Service (Italy) \$45,000

International Commission of Jurists (Kenya) \$200,000

The Near East Foundation is supporting several nongovernmental organizations (NGOs) in Cairo that are engaged in cultural, health, and economic development activities among the city's Sudanese community. Here a development specialist leads a training seminar for NGO leaders.



International human rights

African Network for the Prevention and Protection Against Child Abuse and Neglect (Kenya) \$200,000

Refugee and migration policy

Dar Es Salaam, University of (Tanzania) \$75,000 Moi University (Kenya)

\$10,000

Middle East and North Africa

Civil and political liberties

Institute of Law in the Service of Man (West Bank) \$150,000

Mandela Institute (West Bank) \$100,000

Palestinian Centre for Human Rights (Gaza) \$195,000

International human rights

Center for Economic and Social Rights (New York) \$100,000

Intergroup relations

Near East Foundation (New York) \$314,000

Human rights education, scholarship, and dissemination of information

Arab Institute for Human Rights (Tunisia) \$102,400

August Light Productions (Boston) \$39,000

Middle East Research and Information Project (Washington, D.C.) \$170,000

Project of Translation From Arabic (Jordan) \$162,500

Peace and security

Centre for Palestine Research and Studies (West Bank) \$58,000

Institute for Palestine Studies (Washington, D.C.) \$20,000

International economics and development

Economic Research Forum for the Arab Countries, Iran and Turkey (Egypt) \$250,000

International studies

American University in Cairo \$14,000

Association for the Development of Social, Statistical and Economic Research (France) \$75,000

Boston University \$30,000

Egypt, Government of (on behalf of Cairo University) \$74,900

Foundation-administered project: to provide technical assistance and training in electronic publishing and networking to policy research institutions in the Middle East \$45,000

Asia

U.S. foreign policy

American Assembly (New York) \$75,000

International economics and development

Center for Cultural and Technical Interchange Between East and West (Honolulu) \$86,500

International relations

Columbia University \$63,000

Bangladesh

International human rights

International Centre for the Legal Protection of Human Rights (England) \$10,000

Law and Society Trust (Sri Lanka) \$60,000

Odhikar \$59,000

International relations

Bangladesh Institute of International and Strategic Studies \$17,500

Columbia University \$154,000

Institute of Southeast Asian Studies (Singapore) \$129,000

India, Nepal, and Sri Lanka

Peace and security

Henry L. Stimson Center (Washington, D.C.) \$200,000

King's College (England) \$100,000

Regional Center for Strategic Studies (Sri Lanka) \$338,000

Society for Peace, Security and Development Studies (India) \$5,000

York University (Canada) \$60,000

International relations

Institute for Integrated Development Studies (Nepal) \$150,000 Maharaja Sayajirao University of Baroda (India) \$75,000



Natalie Goldring directs the British American Security Information Council, which has created a network of researchers to study the international weapons trade.



The International Center for Research on Women and the Inter-American Dialogue are organizing a network of women from Latin America, the United States, and Canada. Here, Sally Shelton-Colby (left) of the U.S. Agency for International Development addresses a steering committee meeting. Mimesha Productions (India) \$75,000

Oxford University (England) \$75,000

Panos Institute (England) \$37,500

International organizations and law

Yale University \$13,200

Indonesia and the Philippines

Human rights education, scholarship, and dissemination of information

Amsterdam, University of (Netherlands) \$67,000

China

U.S. foreign policy

Harvard University \$49,500

International economics and development

Chinese Academy of Social Sciences \$132,000

People's University of China \$20,000

International relations

American Council of Learned Societies Devoted to Humanistic Studies (New York) \$200,000

George Washington University \$91,178

National Committee on United States–China Relations (New York) \$179,500

International organizations and law

Chinese Academy of Social Sciences \$20,000

International Studies

Chinese Academy of Social Sciences \$142,300

Vietnam and Thailand

Human rights education, scholarship, and dissemination of information

Vietnam, Government of (Ministry of Foreign Affairs) \$110,000

U.S. foreign policy

U.S. Indochina Reconciliation Project of the Fund for Reconciliation and Development (New York) \$25,000

International relations

American Council of Learned Societies Devoted to Humanistic Studies (New York) \$486,201

George Washington University \$228,799

Institute for International Relations (Vietnam) \$65,000

Vietnam, Government of (Ministry of Foreign Affairs) \$700,000

Vietnam–U.S.A. Society (Vietnam) \$52,000

International studies

Thailand, Government of (Ministry of Foreign Affairs) \$70,000

Latin America and Caribbean

Refugee and migration policy

Johns Hopkins University \$116,000

Peace and security

Arias Foundation for Peace and Human Progress (Costa Rica) \$209,000

Foreign Service Foundation for Peace and Democracy (Costa Rica) \$75,000

Miami, University of \$30,000

Woodrow Wilson International Center for Scholars (Washington, D.C.) \$110,000

U.S. foreign policy

Center for International Policy (Washington, D.C.) \$80,000

Former Members of Congress (Washington, D.C.) \$50,000

International economics and development

Center for Sustainable Development in the Americas (Washington, D.C.) \$57,000

International Relations

Council on Foreign Relations (New York) \$50,000

Council on Public Policy Education (Washington, D.C.) \$130,000

Georgetown University \$150,000

International Center for Research on Women (Washington, D.C.) \$75,000 Johns Hopkins University \$200,000

New School for Social Research (New York) \$40,000

International studies

Latin American Faculty of Social Sciences (Costa Rica) \$180,000

Massachusetts, University of (Boston) \$10,000

Andean Region and Southern Cone

Civil and political liberties

Center for Legal and Social Studies (Argentina) \$354,000

Diego Portales University (Chile) \$250,000

Human Rights National Coordinator (Peru) \$225,000

Lawyers Committee for Human Rights (New York) \$27,000

International human rights

Frontier, University of the (Chile) \$150,000

Brazil

Intergroup relations

Social and Environmental Reference Center \$59,700

Mexico and Central America

Civil and political liberties

Archbishopric of Guatemala \$90,000

Inter-American Institute of Human Rights (Costa Rica) \$270,000

U.S. foreign policy

Southern California, University of (Los Angeles) \$85,000

International economics and development

Environmental Defense Fund (New York) \$300,000

Institute for Agriculture and Trade Policy (Minneapolis, Minn.) \$35,000

Russia and Eastern Europe Russia

Civil and political liberties

Ecojuris-Wled \$160,000

Glasnost Defense Foundation \$200,000

Foundation-administered project: to provide technical assistance to Russian grantees in the area of human rights \$36,000

HRPG (Human Rights Project Group) (New York) \$70,700

Memorial International Historical, Educational, and Charitable Human Rights Society \$445,000

Russian-American (Non-Governmental) Group for Human Rights \$279,300

International human rights

Russian-American (Non-Governmental) Group for Human Rights \$75,000

International economics and development

Eurasia Foundation (Washington, D.C.) \$400,000 Institute for Urban Economics Fund \$120,000

Moscow School for Social and Economic Sciences \$19,000

New Economic School \$67,000

Warwick, University of (England) \$16,000

International studies

Center for Information Research \$75,000

European University of St. Petersburg \$450,000

Moscow Center for Gender Studies \$75,000

Moscow Public Science Foundation \$607,000

Stefan Batory Foundation (Poland) \$300,000

Women's Public Association "Femina" \$15,000

Eastern Europe

Civil and political liberties

Czech Helsinki Committee (Czech Republic) \$125,000

Foundation-administered project: for technical assistance to human rights and public interest law grantees in Russia and Eastern Europe \$82,000

Queen Elizabeth House (England) \$131,000



Queen Elizabeth House in Oxford, England, brought together public interest lawyers from Russia and Eastern Europe to advance public interest law in the region.

Human rights education, scholarship, and dissemination of information

Center for the Advancement of Women Foundation (Poland) \$52,500

Helsinki Foundation for Human Rights (Poland) \$535,000

Stefan Batory Foundation (Poland) \$200,000

International economics and development

CASE—Center for Social and Economic Research—Scientific Foundation (Poland) \$300,000

Center for Economic Development (Slovakia) \$135,000

Cerge Foundation Prague (Czech Republic) \$750,000

Charity Research Foundation "Expert Institute" (Russia) \$90,000

Institute for Human Sciences (Austria) \$600,000

Michigan, University of \$61,000

Peace and security

Institute for EastWest Studies (New York) \$800,000

International studies

Civic Education Project (New Haven, Conn.) \$132,400

Invisible College (Hungary) \$119,000

Slovak Foreign Policy Association (Slovakia) \$58,000

Grants to Inividuals \$624,506

Total, International Affairs \$43,988,584



To promote a free and independent press in Russia, the Glasnost Defense Foundation provides legal support for journalists like these.

Al Behouth Al Masheya wa Kawa'ed Al Ma'loumat fi Al Mogtam'a Al Felestini.

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Centre, 1996.

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Shiblak, Abbas. Ramallah: Palestinian Diaspora and Refugees Centre, 1996.

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Strengthening education and the arts, identity

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and community

Education, Media, Arts, and Culture Program

by Alison R. Bernstein

As we approach the 21st century, the worldwide demand for educational opportunity, freedom of artistic expression, and access to the media and new information technologies has never been greater. More and more people recognize that a well-educated citizenry contributes to a society's economic well-being and also helps reinforce democratic values of tolerance, mutual responsibility, and broad political participation. This is as true for an inner-city neighborhood in the United States as for a village in sub-Saharan Africa.

Advancing identity, culture, and community

Mamphela Aletta Ramphele, flanked by fellow academics, is installed as vice chancellor of the University of Cape Town, South Africa's oldest university and one of its leading research institutions.

[Center] Artists help build bridges of understanding across cultures and generations. Two Mexican-American actresses discuss their heritage in a production at the Guadalupe Theater in San Antonio, Tex. Along with the unprecedented demand for education is an increasing interest on the part of individuals in understanding the various facets of their identity, including racial, ethnic, religious, gender, and geographic. This interest generates activities in schools and colleges, in the arts, and in the media that explore both what makes us different and the values and ideals we hold in common.

At the same time, new technologies have created the possibility of the globalization of education and culture along with ways that media may help advance human welfare. But there is also the possibility that such globalization could replace indigenous cultures with a bland homogeneity, smothering distinctiveness and diversity.

Despite the importance of educational and cultural institutions to economic growth and social development, they are in crisis in many parts of the world. In an era of fiscal constraints, tight budgets, and, in many instances, reduced expenditures, developed as well as developing countries are grappling with the challenge of preserving or improving the quality of education and the arts.



These issues provide the context that gave rise to the Foundation's new Education, Media, Arts, and Culture (EMAC) program. EMAC combines elements from the Foundation's former Education and Culture program as well as formerly separate mediarelated activities. The new program is divided into two units—Education, Knowledge, and Religion; and Media, Arts, and Culture. L. Steven Zwerling is the director, and Janice Petrovich deputy director of our work in Education, Knowledge, and Religion. We are currently recruiting leaders for our work in Media, Arts, and Culture in addition to Christine Vincent, who is acting deputy director of the unit. There is a growing interest in creating artistic and cultural programs that help improve the quality of community life. Here a ceramics artist at the Manchester Craftsman's Guild in Pittsburgh teaches a student from an innercity neighborhood.

In *Changing the Odds: Open Admissions and the Life Chances of the Disadvantaged*, David E. Lavin and David Hyllegard found that, in just one year of the mid-1980s, open admissions graduates of the City University of New York earned \$67 million more than they would have if there had never been open admissions. The authors also estimated that over a lifetime the first open admissions graduates would earn \$2 billion more than they would without the program.

Improving and expanding educational opportunities

Big Bird poses with the creators of Zhimma Jie, an adaptation of Sesame Street being produced by Shanghai Television with assistance from the Children's Television Workshop.

[Center] Innovative curricula and inventive teachers are more necessary than ever to prepare children for an increasingly complex world. In Holyoke, Mass., an instructor in the QUASAR mathematics program teaches

an instructor in the QUASAR mathematics program teaches middle-school children measurement conversions by measuring the distances they run in 30 seconds. EMAC has four overarching goals:

- improving and expanding educational opportunity;
- developing and nurturing diverse scholarly and artistic talents;
- ► strengthening the media, the arts, and cultural institutions; and
- ► advancing knowledge about the complex relations between personal identity and community, culture, and politics.

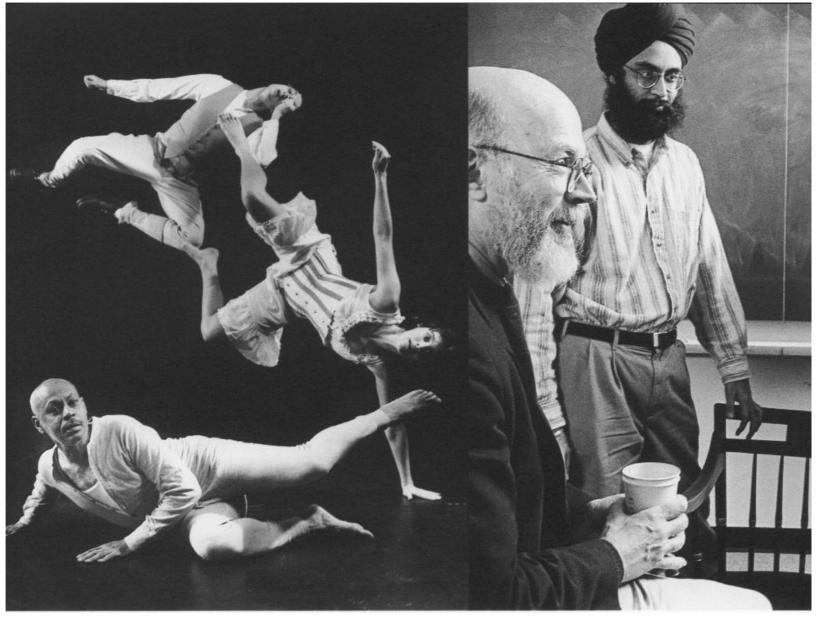
In the Education, Knowledge, and Religion unit, we will pursue these goals through two broad themes. The first is strengthening educational opportunity for all students. The second is promoting a new kind of civic education, one that provides a comprehensive, interdisciplinary curriculum focusing on the rights and responsibilities of citizenship in an increasingly interdependent world.

Under the first theme, we will collaborate with schools and colleges to increase access to higher education, particularly in disadvantaged rural and urban communities. We will also provide financial and technical support to groups with promising



Data from a five-year mathematics project called QUASAR revealed that when teachers presented challenging mathematical tasks in addition to basic calculations, all students—regardless of gender, race, ethnicity, or primary language—made strong gains in their ability to understand advanced mathematical concepts, solve relatively complex problems, and explain their reasoning. The project was conducted by the University of Pittsburgh in several middle schools across the country.

In many countries with scarce public resources, communities are looking for new ways to expand educational opportunities. Muslim children in Mombasa, Kenya, prepare for class in a community preschool supported by the Aga Khan Foundation/Kenya and the Ford Foundation.



Liz Lerman Dance Exchange performs in Appalshop's American Festival Project, which fosters the use of the arts to improve intergroup communications.

[Center] As peoples come into greater contact, it is important to promote knowledge and understanding of different cultures. Robert Bates, a professor of political science at Harvard University and a leading scholar in the field of African studies, teaches a course on the history of development in Africa.

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approaches to improving student achievement from kindergarten through high school, college, and graduate studies. Concomitantly, we will promote rigorous evaluations of major national efforts to reform education from kindergarten through 12th grade.

Under the civic education theme, grants will support interdisciplinary scholarship, graduate fellowships, and social science training. Funds will also assist curriculum development in African-American studies and women's and gender studies. A new national competitive grants program called Crossing Borders: Revitalizing Area Studies will provide funding for programs that encourage scholars with regional expertise to broaden their fields of inquiry and teaching to include attention to transnational problems. Also, for the first time, we will support work on religion and value systems to build greater understanding and establish closer links between different faith-based groups and to reduce intolerance.

Two themes will guide the work of Media, Arts, and Culture. One combines continuing support for artistic creativity and

Developing and nurturing diverse scholarly and artistic talents

cultural institutions with a new focus on ways the arts and humanities help shape important public debates. We have commissioned a national study to examine model arts programs and practices that have played a constructive role in illuminating critical social issues. We have also appointed the Foundation's first artistin-residence, Anna Deavere Smith, the noted actor and playwright.

The other theme in this unit will support analyses of the media's contribution to the well-being of a diverse citizenry, and the ways in which the media can support the goals articulated in the Foundation's mission statement. We will continue to support media productions; educational outreach through the media, including an effort to create a national adult literacy program for television in the United States; new work related to minorities' representation in the news and in executive decision making; and research on the media's role in the globalization of culture.

Arts and cultural organizations are essential components of a healthy society. The fiscal stability and artistic vitality of institutions like the Longy School of Music in Cambridge, Mass., are supported by the Cultural Facilities Fund.

Education and Culture

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the previous Foundation program heading Education and Culture. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in education and culture has been carried out within the new program area Education, Media, Arts, and Culture. Asterisks indicate grants made in conjunction with Media Projects (see page 83).

United States and

Teaching and scholarship

California, University of

Columbia University*

Council for Basic Education

Developmental Studies Center

Cornell University

(Washington, D.C.)

Bank Street College of Education

Worldwide

(New York)

(Los Angeles)

\$100,000

\$250,000

\$900,000

\$323,456

\$75,000

(Oakland)

Duke University

Harvard University

Indiana University

\$75,000

\$500,000

\$648,000

\$250,000

\$601,000 Los Angeles Annenberg

> Metropolitan Project \$508,000

Institute for Educational

Leadership (Washington, D.C.)

National Foundation for the Improvement of Education (Washington, D.C.) \$200,000

New School for Social Research (New York) \$100,000

Rutgers University (New Brunswick) \$75,000

Social Science Research Council (New York) \$2,000,000

Teachers College (Columbia University) \$150,000

Tomas Rivera Policy Institute (Claremont, Calif.) \$75,000

Virginia, University of (Charlottesville) \$250,000

Access and equity

American Council on Education (Washington, D.C.) \$5,000

Arizona State University \$400,000

Association of American Colleges and Universities (Washington, D.C.) \$453,900

Barnard College \$25,000

Barry University \$50,000

Bridgewater State College \$90,000 Claremont University \$5,000

Cleveland Foundation \$74,000

College Board (New York) \$700,000

Community College of Denver \$290,000

Essex County College \$290,000

Florida International University \$290,000

Foundation-administered project: for a public information project on campus diversity \$1,500,000

Foundation-administered project: for program development activities of the Urban Partnership Program \$50,000

Gail Pellett Productions (New York)* \$200,000

Hudson-Mohawk Association of Colleges and Universities (Latham, N.Y.) \$123,000

Institute of Public Media Arts (Durham, N.C.)* \$190,000

La Salle University \$500,000

Los Angeles Community College District \$330,000

Lyon College \$15,000

Maricopa Community College District (Tempe, Ariz.) \$130,000

Maryland, University of (Adelphi) \$425,000



Anthony Brooks is a student in the United Negro College Fund's Second Chance program, which trains ex-soldiers to be teachers.

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Massachusetts, University of (Boston) \$154,600

Memphis, University of \$330,000

Michigan, University of \$36,200

Minnesota, University of (Minneapolis) \$280,000

Navajo Nation (Window Rock, Ariz.) \$970,000

Nebraska, University of \$65,000

New York, City University of \$1,780,000

North Seattle Community College \$356,700

Project GRAD (Houston, Tex.) \$1,350,000

Queens College Foundation (New York) \$149,800

Rancho Santiago Community College District (Santa Ana, Calif.) \$330,000

Roosevelt University \$320,000

Sacred Heart, University of the (Puerto Rico) \$140,000

Seattle Community College District \$330,000

Smith College \$75,000

Teachers College (Columbia University) \$10,000

Vassar College \$25,000

Virginia Commonwealth University \$410,357

Washington, University of \$50,000

Western Interstate Commission for Higher Education (Boulder, Colo.) \$500,000

Administration and policy research

American Council on Education (Washington, D.C.) \$75,000

Aspen Institute (Queenstown, Md.) \$50,000

California, University of (Los Angeles) \$130,000

Cross City Campaign for Urban School Reform (Chicago) \$200,000

Designs for Change (Chicago) \$75,000

Editorial Projects in Education (Washington, D.C.) \$75,000

Education Commission of the States (Denver) \$50,000

ETV Endowment of South Carolina (Columbia)* \$115,000

Institute for Research and Reform in Education (Philadelphia, Pa.) \$183,000

National Center for Fair and Open Testing (Cambridge, Mass.) \$150,000

National Coalition of Advocates for Students (Boston) \$2,100,000

New American Schools Development Corporation (Arlington, Va.) \$500,000

New York Community Trust \$1,100,000 New York University

\$150,000

New York, City University of \$250,000 Parents for Public Schools (Jackson, Miss.) \$100,000

Prichard Committee on Academic Excellence (Lexington, Ky.) \$300,000

RAND Corporation (Santa Monica, Calif.) \$200,000

Southern Education Foundation (Atlanta) \$500,000

U.B. Foundation Services (Amherst, N.Y.) \$20,000

Washington Parent Group Fund (Washington, D.C.) \$150,000

Western Interstate Commission for Higher Education (Boulder, Colo.) \$50,000

Curriculum development

Alternative Media Information Center (Media Network) (New York)* \$225,000

American Council of Teachers of Russian (Washington, D.C.) \$320,000

Arcadia Pictures (New York)* \$150,000

Atlanta University Center \$50,000



Dalila Orozco is working with the Los Angeles Community College District, a participant in the Foundation's Urban Partnership Program, which helps minorities plan for and complete college.



Robert Sexton and the Prichard Committee for Academic Excellence in Kentucky have been at the center of a long struggle to transform the state's public schools into models of excellence.



Alabama Southern is one of several community colleges providing educational and economic opportunities in rural areas. Forestry students at the college take a tree sample. Bennington College \$50,000

Chicago Historical Society \$50,000

Chicago, University of \$75,000

Columbia University \$650,000

Cornell University \$75,000

Feminist Press (New York) \$135,000

Georgia, University of \$68,321

Harvard University \$75,000

Illinois, University of (Champaign) \$75,000

Indiana University \$75,000

Institute of International Education (New York) \$40,000

Johns Hopkins University \$1,819,000

Laurasian Institute (Atlanta) \$200,000

Lewis and Clark College \$300,100

Massachusetts Institute of Technology \$75,000

Michigan, University of (Ann Arbor)* \$150,000

Minnesota, University of (Minneapolis) \$391,000

National Council for History Education (Westlake, Ohio) \$30,000

New York, City University of (Graduate School and University Center) \$50,000

Normal Films (England)* \$75,000 North Carolina Central University \$250,000

North Carolina, University of (Chapel Hill) \$75,000

Northwestern University \$50,000

Oklahoma, University of \$20,000

Pennsylvania, University of \$75,000

Rutgers University (New Brunswick) \$75,000

Southern California, University of

\$12,000 Thomas Jefferson Memorial Foundation (Charlottesville, Va.)

\$50,000

Tougaloo College \$55,000

Towson State University \$32,000

Tulane University \$55,000

United States Coast Guard Academy \$30,000

Vermont, University of, and State Agricultural College (Burlington) \$22,000

Washington, University of \$178,000

Women's World Organization for Rights Literature and Development (New York) \$75,000

Artistic creativity and resources

Atlatl (Phoenix, Ariz.) \$75,000

California, University of (Los Angeles) \$62,500

Center for Arts and Culture (Washington, D.C.) \$75,000

Civil Rights Project (Boston)* \$500,000 Dallas Symphony Association \$25,000

Institute of International Education (New York)* \$687,500

John F. Kennedy Center for the Performing Arts (Washington, D.C.)* \$600,000

Kings Majestic Corporation (Brooklyn, N.Y.)* \$262,500

Madame Walker Building Urban Life Center (Indianapolis) \$200,000

Meet the Composer (New York) \$750,000

Miami-Dade Community College (Florida) \$62,500

Minneapolis Foundation (St. Paul) \$160,000

National Arts Stabilization Fund (Baltimore) \$500,000

National Assembly of Local Arts Agencies (Washington, D.C.) \$185,000

New England Foundation for the Arts (Cambridge, Mass.) \$100,000

New Jersey Center for Performing Arts Corporation (Newark) \$200,000

Nonprofit Facilities Fund (New York) \$500,000

Puerto Rico Community Foundation (Hato Rey) \$75,000

Studio Museum in Harlem (New York) \$50,000

Other

Institute of International Education (New York) \$2,500,000

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Overseas Programs

Africa and Middle East

Cultural preservation

Film Society of Lincoln Center (New York)* \$150,000

Eastern and Southern Africa

Teaching and scholarship

Brazilian Society for Instruction (Brazil) \$21,000

Southern California, University of \$33,500

Artistic creativity and resources

Mozambique, Government of (Ministry of Culture, Youth, and Sport) \$5,000

National Ballet of Zimbabwe \$180,000

South Africa and Namibia

Teaching and scholarship

Western Cape Tertiary Institutions Trust (South Africa) \$100,000

Access and equity

Cape Town, University of (South Africa) \$35,000

Eastern Seaboard Association of Tertiary Institutions Trust (South Africa) \$150,000

Foundation-administered project: to enable Donald Ekong to serve as Scholar-in-Residence at the Foundation's South Africa office \$180,000

Natal, University of (South Africa) \$220,000

New York, City University of \$110,000

Private Sector Initiative Joint Education Trust (South Africa) \$400,000

South African Institute for Distance Education* \$500,000

Technikon Northern Transvaal Foundation (South Africa) \$75,000

Western Cape, University of the (South Africa) \$165,000

Administration and policy research

Association for the Development of African Education (France) \$33,400

Cape Town, University of (South Africa) \$110,000

National Commission on Higher Education (South Africa) \$24,252

Artistic creativity and resources

PWV Music Academy (South Africa) \$25,000

West Africa

Cultural preservation

Ghana, University of \$300,000

Middle East and North Africa Access and equity

- - -

American University in Cairo \$9,100

Birzeit University (West Bank) \$240,000

Artistic creativity and resources

Al-Fawanis Theater Group (Jordan) \$60,000

Al-Wasiti Art Center (East Jerusalem) \$31,100 Filmmakers Collaborative (Cambridge, Mass.) \$40,500 Sabreen Music Institute (West Bank)

Cultural preservation

\$400,000

Pro Helvetia (Egypt) \$72,000

Sudan Culture and Information Centre (Egypt) \$8,300

Summer Institute of Linguistics (Dallas, Tex.) \$20,000

Asia

Teaching and scholarship

Harvard University \$600,000

WGBH Educational Foundation (Boston)* \$300,000

Bangladesh

Cultural preservation

Ain O Salish Kendra \$15,500

Bangladesh Institute of Theatre Arts

\$75,000

Muktijuddha Gabeshana Trust \$100,000

Muktijuddha Smriti Trust \$75,000

Nijera Kori \$21,400

India, Nepal, and Sri Lanka Teaching and scholarship

Book Review Literary Trust (India) \$100,000

Cultural preservation

American Institute of Indian Studies (Chicago) \$250,000



The Northwest Asian American Theatre is recruiting overseas and American artists for a project to explore the transmission of cultural forms and cultural identity across generations. Sound artist Susie Kozawa conducts a workshop for students in Seattle.



Arts International, a division of the Institute of International Education, sponsors creative partnerships between artists in the United States and their counterparts in Africa, Asia, and Latin America. Among artists featured at the program's Inroads/Africa conference last summer were these musicians playing traditional African instruments.



Parents for Public Schools, a national organization that began in Jackson, Miss., in 1985, is helping to improve the quality of education, open lines of communication, and foster friendships among young people of different backgrounds.

Brhaddhvani: Research and Training Centre for Musics of the World (India) \$75,000

India Foundation for the Arts \$750,000

Madras Craft Foundation (India) \$140,000

Natarang Pratishthan (India) \$100,000

Orient Foundation (Bainbridge Island, Wash.) \$125,000

Seagull Foundation for the Arts (India) \$160,000

Indonesia and the Philippines

Teaching and scholarship

Indonesia, University of \$180,000

Cultural preservation

Asian Cultural Council (New York) \$155,000

Bandung Institute of Teacher Training and Education (Indonesia) \$66,000

Borneo Research Council (Phillips, Maine) \$17,000

Foundation-administered project: to develop radio programming of Indonesian music \$75,000

Hasanuddin University (Indonesia) \$40,000

Indonesia, University of \$150,000

Indonesian Musicological Society \$250,000

China

Teaching and scholarship

Chinese Economists Society (Minneapolis, Minn.) \$33,000

Chinese University of Hong Kong \$36,000

Peking University \$100,000

Yunnan University \$30,000

Administration and policy research

Children's Television Workshop (New York)* \$300,000

Cultural preservation

Columbia University \$760,000

Vietnam and Thailand

Teaching and scholarship

Chiang Mai University (Thailand) \$86,200

Volunteers in Asia (Stanford, Calif.) \$203,000

Washington, University of \$90,000

WorldTeach (Cambridge, Mass.) \$37,000

Curriculum development

American Council of Learned Societies Devoted to Humanistic Studies (New York) \$105,000

National Center for Social Sciences and Humanities (Vietnam) \$85,000

Cultural preservation

Alternative Media Information Center (Media Network) (New York) \$31,200 Hue Monuments Conservation Centre (Vietnam) \$34,500 Siam Society (Thailand) \$40,000

Vietnam Feature Film Studio \$60,000

Other

Catholic Relief Services (Vietnam) \$18,900

Indochina Media Memorial Foundation (Thailand) \$30,000

Latin America and Caribbean

Teaching and scholarship

Getulio Vargas Foundation (Brazil) \$64,277

Instituto Tecnológico de Santo Domingo (Dominican Republic) \$70,000

Social Science Research Council (New York) \$230,000

Woodrow Wilson International Center for Scholars (Washington, D.C.) \$187,500

World University Service (Chile) \$15,000

Administration and policy research

Academic and Professional Programs for the Americas (Cambridge, Mass.) \$130,000

Center for Studies of the State and Society (Argentina) \$75,000

Monterrey Institute of Technology and Advanced Studies (Mexico) \$80,000

São Paulo, University of (Brazil) \$50,000 United Nations Educational, Scientific and Cultural Organization (France) \$70,000

Cultural preservation

Somerset Foundation (Santa Monica, Calif.)* \$40,000

Andean Region and Southern Cone

Teaching and scholarship

Chile, University of \$170,000

Institute of Peruvian Studies \$400,000

Access and equity

Center of Integrated Resources for the Family (Colombia) \$95,000

Administration and policy research

Center for Studies of the State and Society (Argentina) \$75,000

Center of Educational Research and Development (Chile) \$31,000

Educational Forum (Peru) \$120,000

Friends of the Institute of Political Studies and International Relations Foundation (Colombia) \$90,000

Interdisciplinary Program of Educational Research (Chile) \$150,000

TAREA—Educational Publications Association (Peru) \$150,000

United Nations Educational, Scientific and Cultural Organization (France) \$75,000

Brazil

Teaching and scholarship

José Bonifacio University \$40,000 Londrina, State University of (Parana)

\$124,000

Administration and policy research

Center for the Study of Education and Society \$117,000

Education Action—Consultancy, Research and Information \$125,070

Mato Grosso, Federal University of \$110,000

Minas Gerais, Federal University of \$250,000

National Association of Professionals in Education Administration \$120,000 National Union of Municipal Education Officers

\$208,200 São Paulo, University of

\$180,000

Curriculum development

Center for the Selection of Candidates to Higher Education of Rio \$165,000

Mexico and Central America

Teaching and scholarship

Association of Borderlands Scholars (San Diego, Calif.) \$15,000

Comparative and International Education Society (Los Angeles) \$25,000

Institute of International Education (New York) \$613,500

Mexican Association for International Education \$65,000 Mexico, College of \$10,000

PROFMEX—Consortium for Research on Mexico (Los Angeles) \$69,800

San Diego Community College District (California) \$50,000

Texas, University of (Austin) \$35,000

Western Interstate Commission for Higher Education (Boulder, Colo.) \$300,000

Access and equity

UNESCO Institute for Education (Germany) \$25,000

Administration and policy research

Latin American Studies Association (Pittsburgh, Pa.) \$60,000

Network Program (Mexico) \$20,000

Cultural preservation

Arizona-Sonora Desert Museum (Tucson) \$75,000

Brown University \$75,000

California, University of (Santa Barbara) \$39,000

Mexican Federation of Health and Community Development Associations \$31,200

Artistic creativity and resources

Colectivo 55 (Mexico) \$50,000

Grants to Individuals \$341,136

Total, Education and Culture \$56,533,969



Cultura de los Indios Mayas, with the help of the Maya Educational Foundation, has developed a repertoire of plays in Tzotzil and Spanish focusing on Mayan folk tales and on social problems affecting indigenous peoples.

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(Cultural Diversity and State-building in the Sudan.) Ali, Haider Ibrahim, Cairo: Sudanese Studies Center, 1996.

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(Catalogue of the Traditional Andean Music Archive, 1985–1993.) Lima, Peru: Instituto Riva Agüero, Pontificia Universidad Católica del Perú (Pontifical Catholic University of Peru), 1995.

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(The Mapuche Movement's Demand for Education in Chile: 1910–1995. Historical background for our bilingual intercultural education.) Marimán-Quemenado, Pablo. Temuco: Instituto de Estudios Indígenas, Universidad de La Frontera, 1995.

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A Guide for Museums with Culturally Defined Collections. Arrick, Ellen, and Mary Virtue, Harlem, N.Y.: African American and Latino Art Museum Working Group, The Studio Museum in Harlem, 1996.

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(Women and Writing in the Arab World.) Amyuni, Mona Takieddine, ed. Beirut: The Lebanese Association for Women Researchers, Bahithat, Volume 2, 1996.

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(Reports of Majapahit Archaeological Sites in Trowulan, Mojokerto, East Java, 1991–1993.) Jakarta, Indonesia: Pusat Penelitian Arkeologi Nasional, 1995.

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Materiales para pensar la descentralización educativa.

(Thinking abour Educational Decentralization.) Iguiñiz, Manuel, and Daniel del Castillo. Lima, Peru: TAREA— Educational Publications Association, 1995.

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Media Projects

Approved Grants and Projects, Fiscal Year 1996

The grants in this section, made in fiscal 1996, are listed under the Foundation's previous program heading Media Projects. Since the Foundation's reorganization in fiscal 1997, which began October 1, 1996, the Foundation's work in the media has been carried out within the new program area Education, Media, Arts, and Culture.

Urban Poverty

Alternative Media Information Center (Media Network) (New York) \$200,000 Judge Baker Children's Center (Boston) \$300,000 Pratt Institute

\$170,000

Rural Poverty and Resources

Civil Rights Project (Boston) \$200,000

Institute for Food and Development Policy (Oakland, Calif.) \$20,000

Rights and Social Justice

Camera News (New York) \$150,000

International Women's Media Foundation (Washington, D.C.) \$75,000

Latin American Institute for Transnational Studies (Chile) \$140,000

Maryland Public Broadcasting Foundation (Owings Mills, Md.) \$200,000

National Latino Telecommunications Consortium (Los Angeles) \$125,000

WGBH Educational Foundation (Boston) \$300,000

William Benton Foundation (Washington, D.C.) \$16,200

Governance and Public Policy

Civil Rights Project (Boston) \$3,300,000

Educational Broadcasting Corporation (New York) \$400,000

Minnesota Public Radio (St. Paul) \$150,000

Mozambique, Government of (Institute of Social Communication, Ministry of Information) \$223,000

National Public Radio (Washington, D.C.) \$4,500,000

National Video Resources (New York) \$5,000

Public Radio International (Minneapolis) \$3,000,000

Southern Africa Communications for Development (Zimbabwe) \$125,500



Reporter Ofeibea Quist-Arcton and executive producer Neil Curry of The World, a news program coproduced by Public Radio International, BBC World Service, and WGBH Boston.



The legacy of slavery is examined in Family Name, a documentary produced by Opelika Pictures with Foundation support to the Alternative Media Information Center.



Director Akram Zaatari on the set of his 1995 film Countdown, one of 40 films featured in the Centennial of Arab Cinema, a festival now touring the United States. Visionaries (Braintree, Mass.) \$250,000

WNYC Foundation (New York) \$1,000,000

Education and Culture

Alternative Media Information Center (Media Network) (New York) \$225,000 Arcadia Pictures (New York) \$150,000 Children's Television Workshop (New York) \$300,000 Civil Rights Project (Boston) \$500,000 Columbia University

\$900,000

ETV Endowment of South Carolina (Columbia) \$115,000

Film Society of Lincoln Center (New York) \$150,000

Gail Pellett Productions (New York) \$200,000

Institute of Public Media Arts (Durham, N.C.) \$190,000

Institute of International Education (New York) \$62,500

John F. Kennedy Center for the Performing Arts (Washington, D.C.) \$600,000 Kings Majestic Corporation (Brooklyn, N.Y.) \$62,500

Michigan, University of (Ann Arbor) \$150,000

Normal Films (England) \$75,000

Somerset Foundation (Santa Monica, Calif.) \$40,000

South African Institute for Distance Education \$300,000

WGBH Educational Foundation (Boston) \$300,000

International Affairs

Clarity Educational Productions (Berkeley, Calif.) \$75,000

Global Center (New York) \$536,500

Independent Broadcasting Associates (Littleton, Mass.) \$75,000

International Monitor Institute (Los Angeles) \$125,000

United Nations (New York) \$75,000

Reproductive Health and Population

Concentric Media (San Francisco) \$120,000

Indonesia, Government of (Ministry of Health, Center for Public Health Education) \$350,000



Each year the International Women's Media Foundation honors outstanding female journalists like Asye Önal (right) who won a Courage in Journalism Award for her reports on organized crime in Turkey and conflicts in the Middle East.



Father Xavier, a Salesian priest who works with street children in Colombia, is one of the people featured in The Visionaries, a PBS documentary series on the work of nonprofit organizations and their leaders.

Introduction to Financial Statements Statement of Financial Position Statement of Activities Statement of Cash Flows Notes to Financial Statements Report of Independent Accountants Historical Summary

Introduction to Financial Statements

Budget and Investment Policy

The Foundation's budget and investment policies are intended to serve the Foundation's basic charitable and educational purposes. It is anticipated that, for many years to come, the Foundation will play an important role in addressing societal problems and challenges in the United States and around the world. This role includes helping to develop, test, and support new ideas and innovative projects. To enable the Foundation to continue to perform this function and to effectively pursue multiyear programs and strategies, the Foundation tries to invest and budget in ways that produce relatively smooth spending patterns over time and avoid sharp drops in spending from year to year, while preserving the real value of the investment portfolio.

The Foundation budgets on a two-year cycle. The Foundation's budget is based on the higher of two benchmarks. The first is an internally derived formula equal to 5.8 percent of the average value of the investment portfolio over the previous 36-month period. The second benchmark is the spending level that is adequate to satisfy both the federally mandated payout requirement and the special distribution requirement that enables the Foundation to convert excise tax savings into additional grant disbursements. The Foundation maintains a balanced and diversified portfolio, which includes equities and fixed income securities (both U.S. and international), venture capital, and real estate investments.

Investments

The Foundation's investment portfolio was valued at \$8.0 billion at the end of fiscal 1996 versus \$7.4 billion at the close of fiscal 1995. The return on the portfolio was 15.7 percent. The largest asset class in the portfolio is U.S. equities, which returned 22.4 percent versus 20.3 percent for the Standard & Poor's 500 Index. Total equities, including international, returned 18.9 percent compared to the Morgan Stanley Capital International World Equity Index return of 13.7 percent. The fixed income fund returned 6.3 percent, while the Lehman Brothers Government/Corporate Bond Index gained 4.5 percent. Private equities, including venture capital and buyout funds, returned 35.7 percent.

The following table shows the asset allocation of the Foundation's portfolio at the end of fiscal 1996 compared to fiscal 1995. Total equities have increased due to the strong U.S. equity market performance as well as asset shifts into private equity and international equities. U.S. fixed income was reduced significantly, while foreign fixed income was increased.

Portfolio Components

As of September 30

	1996		1995	
	Market value (in millions)	Percent of total	Market value (in millions)	Percent of total
Marketable securities				
U.S. equities	\$3,771.9	46.9%	\$3,391.2	46.0%
Int'l. equities	1,421.2	17.7	1,055.7	14.3
Total equities	5,193.1	64.6	4,446.9	60.3
U.S. fixed income	1,218.4	15.2	1,770.9	24.0
Int'l. fixed income	615.3	7.6	310.0	4.2
Total fixed income	1,833.7	22.8	2,080.9	28.2
Short-term investments Investments with limited		5.8	463.5	6.3
marketability	545-9	6.8		5.2
	\$8,035.3	100.0%	\$7,372.9	100.0%

Income and Expenditures

Total realized income, including capital gains, amounted to \$899 million in fiscal 1996, compared to \$586 million in fiscal 1995. Dividends and interest income totaled \$272 million, or \$25 million above fiscal 1995. Total program activities (primarily grants to organizations and individuals, direct charitable activities, and program support) were \$367 million, representing an increase of \$28 million over the previous year. General management expenditures were \$20 million, representing a .3 percent increase over the previous year. Expenses incurred in the production of income were \$21 million, a 9.1 percent increase, reflecting growth in the Foundation's investment portfolio.

Program-Related Investments (PRIs)

Each year the Foundation invests a portion of its endowment in projects that advance philanthropic purposes in various areas of the Foundation's interest. *(See list, pages 36-37.)* The Trustees have earmarked up to \$160 million of the corpus for these investments. The investments are in the form of debt or equity financing or loan guarantees. As of September 30, 1996, \$123 million in investments and \$415,000 in guarantees were outstanding and \$10 million in funding commitments were in process.

During the fiscal year, new PRI loan commitments of \$16.6 million were made, and \$19.1 million were disbursed. Principal repayments and expired guarantees of \$9.1 million and investment income of \$1.4 million were received. The following table summarizes the PRI program for fiscal years 1996 and 1995.

Program-Related Investment Summary

	1996	1995
	(in thousands)	
Investments and guarantees outstanding, beginning of fiscal year	\$114,279	\$110,958
Activity during year:		
-Investments disbursed	19,100	12,100
-Principal repaid and guarantees expired*	(9,142)	(6,680)
Investments written off	(1,112)	(2,099)
Investments and guarantees* outstanding,		
end of fiscal year	123,125	114,279
Commitments for investments	10,483	12,983
Total investments, guarantees,* and commitments		
outstanding	\$133,608	\$127,262
Allowance for possible losses	\$ 22,924	\$ 22,625
Program development and support**	\$ 4,559	\$ 3,826
Investment income received	\$ 1,379	\$ 1,325

*Guarantees of \$415,000 and \$453,000 were outstanding at the end of fiscal 1996 and 1995, respectively. A total of \$38,000 of guarantees expired in fiscal 1996.

** Includes grants approved to investees and the cost of providing technical assistance to develop new PRIs and evaluate ongoing investments.

Federal Excise Tax and Payout Requirement

The Internal Revenue Code imposes on private foundations an excise tax equal to 2 percent on net investment income (principally interest, dividends, and net realized capital gains, less expenses incurred in the production of investment income). This tax is reduced to 1 percent when the Foundation meets certain distribution requirements. In fiscal 1996 the Foundation was again able to satisfy the distribution requirement and thus reduce the excise tax from 2 percent to 1 percent by converting the tax savings into additional qualifying distributions (grant payments). For fiscal 1996 the tax is estimated to be \$8.8 million, excluding the deferred portion of excise taxes resulting from unrealized appreciation on investments. Since 1971 the Foundation has incurred federal excise taxes of \$161 million.

The Internal Revenue Code also requires private foundations annually to disburse approximately 5 percent of the market value of investment assets, less the federal excise tax. The payout requirement may be satisfied by payments for grants, program-related investments, direct conduct of charitable activities, and certain administrative expenses. The Foundation had qualifying distributions of \$419.1 million in fiscal 1996, exceeding the federally mandated payout requirement by \$24.7 million.

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September 30

Statement of Financial Position

	1996	1995
Assets	(in th	ousands)
Investments, at market	\$8,035,323	\$7,372,854
Cash		
includes interest-bearing accounts of \$133,000		
(\$143,000 at September 30, 1995)	828	706
Federal excise tax receivable	950	775
Other receivables and assets	7,198	5,367
Program-related investments, net of allowances for possible losses of \$22,924,000 (\$22,625,000 at September 30, 1995)	99,785	01.201
(322,02),000 at september 30, 1993)	99,70)	91,201
Fixed assets, at cost, net of accumulated depreciation of \$28,753,000		
(\$25,405,000 at September 30, 1995)	33,396	32,377
	\$ 8,177,480	\$ 7,503,280
Liabilities and unrestricted fund balance		
Unpaid grants	\$ 134,585	\$ 145,162
Payables and other liabilities	62,912	50,980
Deferred federal excise tax liability	18,944	16,806
	216,441	212,948
Unrestricted fund balance		
Appropriated	12,420	13,083
Unappropriated	7,948,619	7,277,249
	7,961,039	7,290,332
	\$ 8,177,480	\$7,503,280

See Notes to Financial Statements

Statement of Activities

For the year ended September 30

	1996	1995
	(in thousands)	
Income		
Dividends	\$ 109,113	\$ 94,368
Interest	163,195	152,868
Realized appreciation on investments	626,456	339,073
Unrealized appreciation on investments	213,771	676,326
	1,112,535	1,262,635
Expenditures		
Program activities:		
Grants approved	318,065	295,792
Direct conduct of charitable activities	8,166	6,084
Program support	39,281	35,130
Provision for possible losses on		
program-related investments	1,412	2,478
	366,924	339,484
General management	19,911	19,842
Expenses incurred in the production of income	20,505	18,800
Provision for federal excise tax		
Current	8,750	5,600
Deferred	2,138	6,763
Depreciation	4,343	4,638
	422,571	395,127
Change in unrestricted fund balance before cumulative effect of change in accounting principle	689,964	867,508
Cumulative effect of change in accounting principle	(19,257)	
Change in unrestricted fund balance	670,707	867,508
Unrestricted fund balance at beginning of year	7,290,332	6,422,824
Unrestricted fund balance at end of year	\$7,961,039	\$7,290,332

See Notes to Financial Statements

Statement of Cash Flows

For the year ended September 30

	1996	1995
	(in tho	usands)
Cash flows from operating activities:		
Change in unrestricted fund balance	\$ 670,707	\$ 867,508
Adjustments to reconcile change in unrestricted fund balance to net cash provided by operating activities:		
Cumulative effect of change in accounting principle	19,257	
Unrealized appreciation on investments	(213,771)	(676,326)
Depreciation	4,343	4,638
Provision for possible losses on program-		
related investments	1,412	2,478
Deferred provision for federal excise taxes	2,138	6,763
Increase in current federal excise tax receivable	(175)	(325)
Increase in other receivables and assets	(1,831)	(1,610)
Loans disbursed for program-related investments	(19,100)	(12,100)
Repayments of program-related investments	9,104	6,467
Grant approvals	318,065	295,792
Grant payments	(328,642)	(286,521)
(Decrease) increase in payables and other liabilities	(7,325)	19,176
Net cash provided by operations	454,182	225,940
Cash flows from investing activities:		
Proceeds from sale of investments	5,130,258	6,453,486
Purchase of investments	(5,578,956)	(6,674,531)
Purchase of fixed assets	(5,362)	(5,094)
Net cash used by investing activities	(454,060)	(226,139)
Net increase (decrease) in cash	122	(199)
Cash at beginning of year	706	905
Cash at end of year	\$ 828	\$ 706

See Notes to Financial Statements

Notes to Financial Statements

September 30, 1996

Note 1 Summary of Significant Accounting Policies

The financial statements of The Ford Foundation (the Foundation) are prepared on the accrual basis. The significant accounting policies followed are set forth below:

Investments Most investments are carried at market value, as quoted on major stock exchanges. Securities or real estate with limited marketability have been valued at estimated realizable values, which includes recognition of risk factors as appropriate. The Foundation classifies marketable securities with original maturities of less than three months as investments.

Realized and unrealized gains or losses on investments are determined by comparison of specific costs of acquisition (identified lot basis) to proceeds at the time of disposal, or market values at the balance sheet date, respectively, and include the effects of currency translation with respect to transactions and holdings of foreign securities.

Program-Related Investments The Foundation invests in projects that advance philanthropic purposes. These program-related investments are valued at net realizable value.

Fixed Assets Land, buildings, furniture, equipment and leasehold improvements owned by the Foundation are recorded at cost. Depreciation is charged using the straight-line method based on estimated useful lives of the particular assets generally estimated as follows: buildings, principally fifty years, and furniture, equipment and leasehold improvements, three to fifteen years.

Income, Expenditures, and Appropriations Grant expenditures are considered incurred at the time of approval by the Board of Trustees or the President of the Foundation. Uncommitted appropriations that have been approved by the Board of Trustees are included in Appropriated Unrestricted Fund Balance.

Taxes The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to federal income taxes. However, the Foundation is subject to a federal excise tax.

The Foundation follows the policy of providing for federal excise taxes on net appreciation (both realized and unrealized) on investments. The deferred federal excise tax in the accompanying financial statements represents taxes provided on net unrealized appreciation (depreciation) on investments. **Risks and Uncertainties** The Foundation uses estimates in preparing the financial statements in conformity with generally accepted accounting principles. Actual results may differ from these estimates. A significant estimate is the realizable value of program-related investments. Although the Foundation regularly assesses this estimate, it is reasonably possible that this estimate will change in the near term.

Note 2 Investments

Investments held at September 30 were as follows:

	1996		1995	
	Market Value (<i>in thou</i>	Cost sands)	Market Value ((in thousands)	
Equities	\$5,265,491	\$3,452,237	\$4,494,976	\$2,925,900
Fixed Income	1,899,288	1,912,261	2,124,046	2,061,380
Short Term	395,442	386,208	418,296	418,044
Limited Marketability	475,102	390,204	335,536	286,888
	\$8,035,323	\$6,140,910	\$7,372,854	\$5,692,212

Included in investments at market are \$93.8 million and \$54.7 million of investment-related receivables and payables, respectively, in 1996 and \$196.8 million and \$87.9 million, respectively, in 1995.

The Foundation purchases and sells forward currency contracts whereby the Foundation agrees to exchange one currency for another on an agreed-upon date at an agreed-upon exchange rate to minimize the exposure of certain of its investments to adverse fluctuations in financial and currency markets. As of September 30, 1996 and 1995, the Foundation had forward currency contracts with notional amounts totaling \$558 million and \$459 million, respectively. Such contracts involve, to varying degrees, risks of loss in excess of the amount recognized in the statement of financial position, arising either from potential changes in market prices or from the possible inability of counterparties to meet the terms of their contracts. Changes in the value of forward currency contracts are recognized as unrealized gains or losses until such contracts are closed.

Note 3 Fixed assets

At September 30, fixed assets comprised:

	1996	1995
	(in tho	rusands)
Land	\$ 3,736	\$ 3,736
Buildings, net of accumulated depreciation of \$14,901,000 in 1996 and \$13,823,000 in 1995	16,757	17,234
Furniture, equipment, and leasehold improvements, net of accumulated depreciation of \$13,852,000 in		
1996 and \$11,582,000 in 1995	12,903	11,407
	\$ 33,396	\$ 32,377

Note 4

Provision for Federal Excise Tax

The Internal Revenue Code imposes an excise tax on private foundations equal to 2 percent of net investment income (principally interest, dividends and net realized capital gains, less expenses incurred in the production of investment income). This tax is reduced to 1 percent for foundations that meet certain distribution requirements. In 1996 and 1995, the Foundation satisfied these requirements and is therefore eligible for the reduced tax. The provision for federal excise tax (based on a 1 percent rate in 1996 and 1995) consists of a current provision on net investment income and a deferred provision (benefit) based on net unrealized appreciation (depreciation) on investments. The amount of excise taxes paid was \$9.7 million and \$5.9 million in 1996 and 1995, respectively.

Note 5

Retirement Plans

Defined Benefit Pension Plan The Foundation's defined benefit pension plan, the Cash Balance Retirement Plan ("the Plan"), covers substantially all New York appointed employees (locally appointed staff are covered by other retirement arrangements). Pension benefits generally depend upon age, length of service, and salary level. The Plan is funded in accordance with the minimum funding requirements of the Employee Retirement Income Security Act (ERISA). The major assumptions used to determine the projected benefit obligation and pension expense for 1996 and 1995 include the discount rate of 7 percent, rate of increase in compensation levels of 6 percent, and expected long-term rate of return on plan assets of 7.5 percent.

The components of net periodic pension cost of the Plan for the periods ended September 30 are as follows:

	1996	1995
	(in thous	ands)
Service cost-benefits earned during the period	\$ 579	\$ 561
Interest cost on projected benefit obligation	104	76
Actual return on plan assets	(107)	(80)
Amortization of transition assets	(39)	(34)
Net periodic pension cost	\$ 537	\$ 523

The funded status of the Plan at September 30 is as follows:

	1996	1995
Actuarial present value of benefit obligations:	(in thousands)	
Accumulated benefit obligations-vested	\$1,904	\$ 966
Additional benefits based on estimated future salary levels	211	628
Projected benefit obligation	2,115	1,594
Less: Plan assets at fair value	2,052	1,508
Projected benefit obligation in excess of (less than) plan assets	63	86
Unrecognized net transition asset	144	178
Unrecognized net gain/(loss)	18	(11)
Accrued pension expense	\$ 225	\$ 253

Nonpension Postretirement Benefits Effective October 1, 1995, the Foundation adopted the Statement of Financial Accounting Standards ("SFAS") No. 106, "Employers' Accounting for Postretirement Benefits Other than Pensions." SFAS 106 changes the practice of recording the cost for postretirement benefits on a "pay-as-you-go" basis by requiring the Foundation to accrue, during the years that the employee renders service, the expected cost of providing postretirement benefits to an employee and the employee's beneficiaries. The Foundation chose the immediate recognition method for recording the transition obligation and accordingly, a liability and a corresponding cumulative effect of accounting change of \$19.3 million was recorded as of October 1, 1995.

Retirees with at least 5 years of service and who are at least age 55 are eligible for post-retirement medical, dental and life insurance benefits.

The components of net periodic postretirement benefit cost for the year ended September 30, 1996 are as follows:

	(in thousands)
Service Cost Interest cost on the accumulated postretirement benefit obligation	\$ 898 1,499
Net periodic postretirement benefit cost	\$ 2,397

The reconciliation of the Foundation's accumulated postretirement benefit obligation to the accrued postretirement benefit cost as of September 30, 1996 is as follows:

	(in thousands,	
Accumulated postretirement benefit obligation:		
Retirees	\$ 9,997	
Fully eligible active plan participants	4,020	
Other active plan participants	6,585	
Accrued postretirement benefit cost	\$20,602	

As this obligation is not funded, the adoption of SFAS 106 will not affect the Foundation's cash flows.

A discount rate of 8 percent and a health-care cost trend rate of 10 percent was used to measure the accumulated postretirement benefit obligation as of October 1, 1995 and September 30, 1996. The health-care cost trend rate is assumed to decrease ratably to 6 percent by the year 2000 and thereafter. Increasing the health-care cost trend rates by 1 percent for the year would increase the accumulated postretirement benefit obligation as of September 30, 1996 by \$1,965,000 and the net postretirement benefit cost for the year then ended by \$313,000.

Note 6

Contingencies, Commitments, and Guarantees

The Foundation is involved in several legal actions. The Foundation believes it has defenses for all such claims, believes the claims are substantially without merit, and is vigorously defending the actions. In the opinion of management, the final disposition of these matters will not have a material effect on the Foundation's financial position.

As part of its program-related investment activities, the Foundation has outstanding loan guarantees of \$415,000 and is committed to provide \$10,483,000 of loans to not-for-profit organizations. Further, as part of its investment management activity, the Foundation is committed to additional funding of \$379,753,000 in venture capital partnerships and real estate investment trusts.

Report of Independent Accountants

To the Board of Trustees of the Ford Foundation

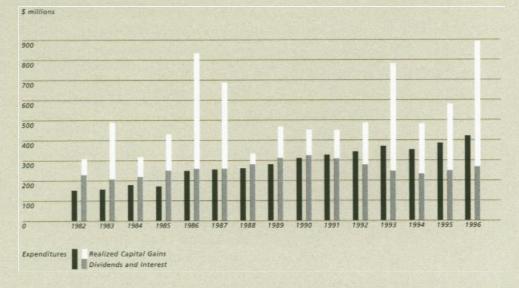
In our opinion, the accompanying statement of financial position and the related statements of activities and cash flows present fairly, in all material respects, the financial position of The Ford Foundation at September 30, 1996 and 1995, its income, expenditures, and changes in unrestricted fund balance and its cash flows for each of the two years in the period ended September 30, 1996, in conformity with generally accepted accounting principles. These financial statements are the responsibility of the Foundation's management; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with generally accepted auditing standards which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

As discussed in Note 5, the Foundation changed its method of accounting for postretirement benefits other than pensions in fiscal year 1996.

Price Waterborne LLP

Price Waterhouse LLP New York, New York November 22, 1996

Historical Summary

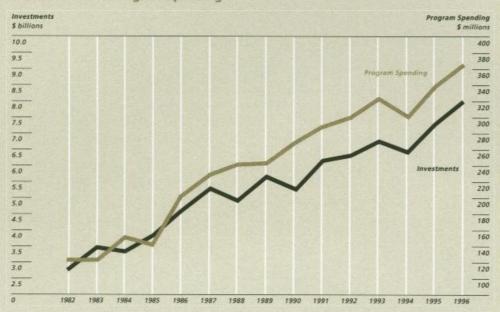


Expenditures and Realized Income

The chart above compares expenditures with realized income, which consists of dividends, interest, and realized capital gains. As shown, realized income exceeded expenditures in each year.

Fifteen Year Summary Fiscal Years 1982–1996 in millions

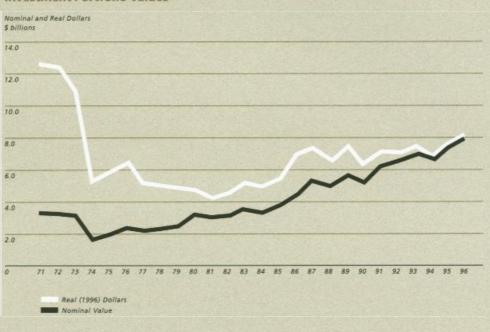
The full two type type in ministry	1996	1995	1994	1993	1992	1991	
Investments							
Market value at end of year	\$8,035.3	\$7,372.9	\$6,475.5	\$6,821.4	\$6,367.4	\$6,158.2	
Cost at end of year	6,140.9	5,692.2	5,471.2	5,360.3	4,943.0	4,792.7	
Increase (decrease) in unrealized							
appreciation on investments	213.8	676.3	(456.8)	36.8	58.8	737.8	
Realized capital gains	626.5	339.1	257.I	549.2	208.5	146.7	
Fund Balance at end of year	7,961.0	7,290.3	6,422.8	6,732.3	6,270.8	6,059.3	
Income and Expenditures							
Dividends and interest income	272.3	247.2	232.I	248.2	284.8	303.4	
Program activities	366.9	339-5	301.1	326.1	299.2	287.3	
Investment management, general management, depreciation, and provision for current federal							
excise tax	53-5	48.9	45-3	46.2	40.8	39.1	



Investments and Program Spending

The black line in the chart above shows the value of the Foundation's investment portfolio over the past 15 years plotted on the left scale. The gray line is the level of spending on program activities over the same period and is plotted on the right scale.

1982	1983	1984	1985	1986	1987	1988	1989	1990	
\$2,774.7	\$3,468.6	\$3,418.3	\$3,831.0	\$4,646.3	\$5,303.5	\$4,939.5	\$5,671.9	\$5,291.0	
2,505.0	2,845.3	2,992.6	3,228.3	3,837.3	4,241.5	4,317.6	4,510.5	4,663.3	
147.7	353.7	(197.5)	176.9	206.3	252.9	(440.0)	539.5	(533.8)	
77.8	291.8	92.4	177.7	576.8	440.4	49.7	163.4	140.2	
2,700.8	3,388.1	3,321.7	3,748.4	4,535.3	5,224.7	4,856.0	5,584.4	5,205.1	
226.3	203.1	217.8	246.1	252.7	251.7	289.1	306.9	314.4	
122.4	121.4	153.8	141.2	205.4	228.6	242.1	245.I	268.5	
26.4	22.7	28.9	29.4	39-4	32.4	29.9	33.6	37.0	
20.4	32.7	20.9	29.4	39.4	74-4	-9.9)).0	37.0	



Investment Portfolio Values

As this chart shows, although the Foundation's investment portfolio grew during the 1980s and 1990s, it has not reached its value in real terms of 25 years ago because of the effect of inflation and spending. During the past 25 years, the dollar lost 75 percent of its purchasing power as measured by the Consumer Price Index. During this same period, the Foundation disbursed about \$6.2 billion for program expenditures and operations.

Communications and Archives

Communications

The Office of Communications publishes and distributes a variety of free publications and also disseminates a number of Foundationsupported videos and films. Videos may be purchased or rented. A catalog listing these publications and videos, along with video prices and ordering information, is available free of charge.

Information about the Foundation's program activities may be found in *Current Interests of the Ford Foundation*. A quarterly publication, *The Ford Foundation Report*, contains articles and reports related to the Foundation's work in the United States and abroad, as well as brief announcements of recent grants, publications, and staff appointments. Both publications are free.

Requests for the catalog and other publications, or to be placed on the Foundation's mailing list, should be sent to:

Ford Foundation Office of Communications Dept. A 320 East 43 Street, New York, N.Y. 10017



The Foundation created a Web Site in 1996 to provide another source of information about the Foundation's current interests, programs, and organization. It includes past and present editions of *The Ford Foundation Report* and the Annual Report, as well as the catalog of Foundation publications and videos.

Archives

The Foundation maintains an archive of historical material relating to its activities, which may be consulted by researchers. Those interested in using the archives should apply in writing to the Archivist.



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will be conducted

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